



Theoretical description of deeply virtual Compton scattering off ${}^3\text{He}$

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Outline

- Deeply virtual Compton scattering (DVCS) and nuclear Generalized Parton Distributions (GPDs)
- GPDs of ${}^3\text{He}$:
 - * GPDs H , E , \tilde{H} in Impulse Approximation (IA)
(S.S., PRC 70 (2004) 015205; PRC 79 (2009) 025207)
 - * Extracting the neutron information from ${}^3\text{He}$ data
(M. Rinaldi, S.S. PRC 85, 062201(R) (2012); PRC 87, 035208 (2013))
- Preliminary results for the GPD \tilde{H} of ${}^3\text{He}$
- Conclusions

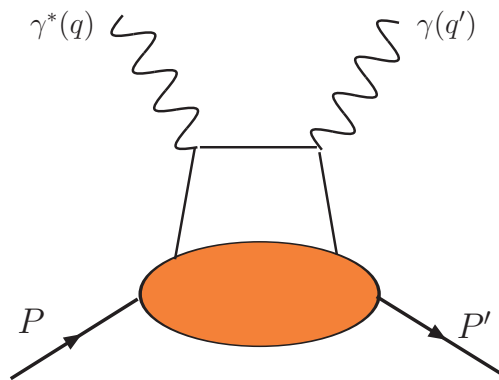


GPDs - why?

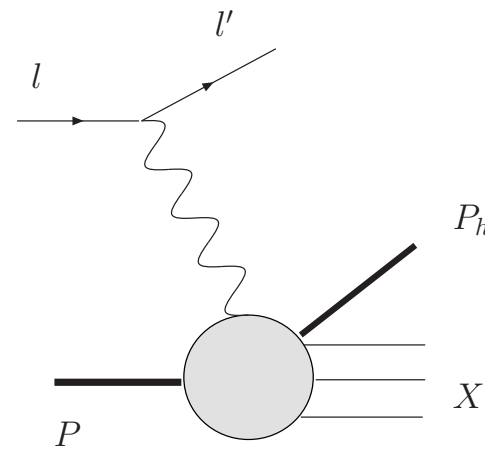
- Initially, the interest in GPDs was a consequence of the “Spin crisis”(EMC, '88): most of the **proton spin NOT** carried by the **quark helicities Σ**

- Spin Sum Rule:
$$\Sigma + L_q + J_g = \frac{1}{2}$$

- OAM (L_q)** accessed through non forward processes:



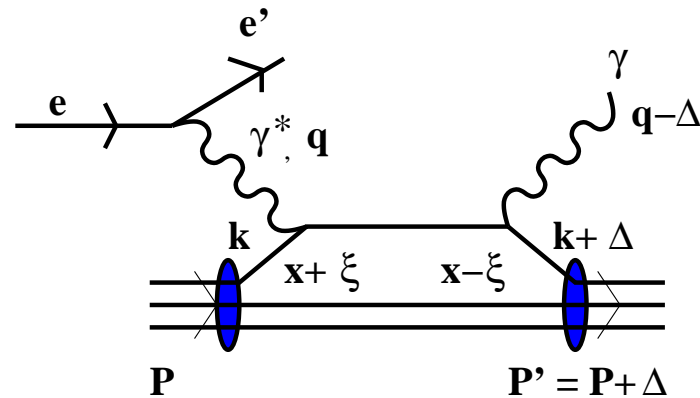
DVCS \rightarrow GPDs



SiDIS \rightarrow TMDs

GPDs: Definition (X. Ji PRL 78 (97) 610)

For a $J = \frac{1}{2}$ target,
in a hard-exclusive process,
($Q^2, \nu \rightarrow \infty$)
such as (coherent) DVCS:



- $\Delta = P' - P$, $q^\mu = (q_0, \vec{q})$, and $\bar{P} = (P + P')^\mu / 2$, $n \cdot \bar{P} = 1$, $n \cdot n = 0$
- $x = \bar{k} \cdot n / \bar{P} \cdot n \rightarrow \bar{k}^+ / \bar{P}^+$; $\xi = \text{"skewness"} = -n \cdot \Delta / 2 \rightarrow -\Delta^+ / (2\bar{P}^+)$
- $x \leq -\xi \rightarrow$ GPDs describe *antiquarks*;
 $-\xi \leq x \leq \xi \rightarrow$ GPDs describe *$q\bar{q}$ pairs*; $x \geq \xi \rightarrow$ GPDs describe *quarks*

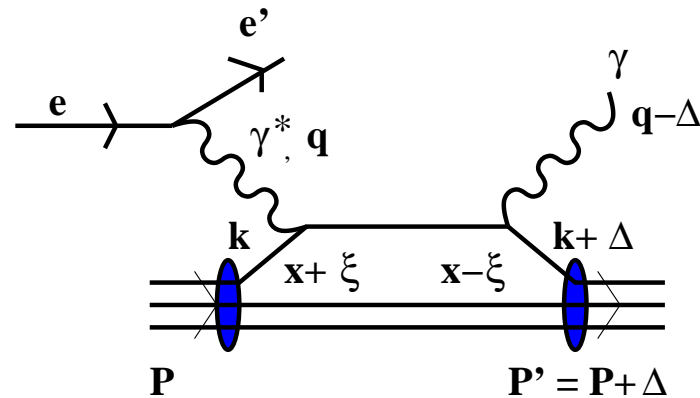
the GPDs $H_q(x, \xi, \Delta^2)$ and $E_q(x, \xi, \Delta^2)$ are introduced:

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle = H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$



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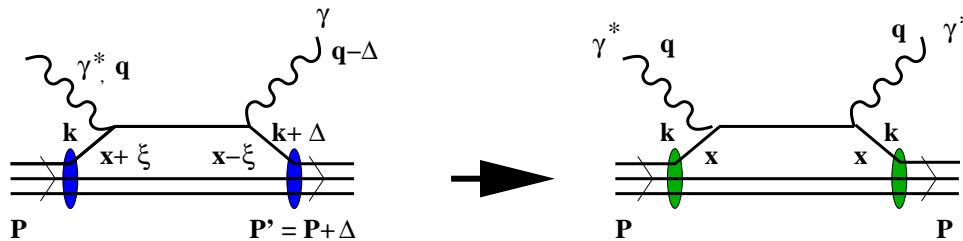
and the helicity dependent ones, $\tilde{H}_q(x, \xi, \Delta^2)$ and $\tilde{E}_q(x, \xi, \Delta^2)$, obtained as follows:

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \gamma_5 \psi_q(\lambda n/2) | P \rangle = \tilde{H}_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + \tilde{E}_q(x, \xi, \Delta^2) \bar{U}(P') \frac{\gamma_5 \Delta^\mu}{2M} U(P) + \dots$$



GPDs: limits

- when $P' = P$, i.e., $\Delta^2 = \xi = 0$, one recovers the usual PDFs:



$$H_q(x, 0, 0) = q(x); \quad \tilde{H}_q(x, 0, 0) = \Delta q(x); \quad E_q(x, 0, 0), \tilde{E}_q(x, 0, 0) \text{ unknown}$$

- the x -integration yields the q -contribution to the Form Factors (ffs)

$$\int dx \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle =$$

$$\int dx H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + \int dx E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$

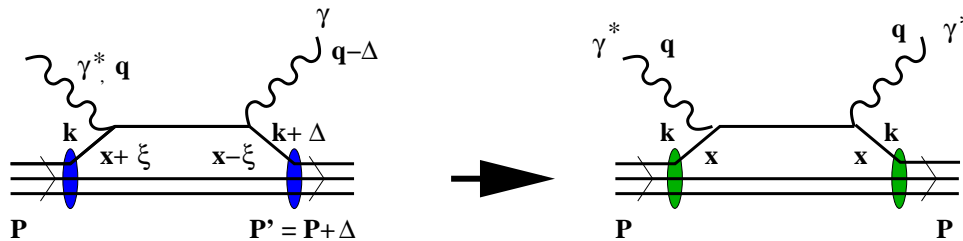
$$\implies \int dx H_q(x, \xi, \Delta^2) = F_1^q(\Delta^2) \quad \int dx E_q(x, \xi, \Delta^2) = F_2^q(\Delta^2)$$

$$\implies \text{Defining } \boxed{\tilde{G}_M^q = H_q + E_q} \quad \text{one has } \int dx \tilde{G}_M^q(x, \xi, \Delta^2) = G_M^q(\Delta^2)$$



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$$\int dx \tilde{H}_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + \int dx \tilde{E}_q(x, \xi, \Delta^2) \bar{U}(P') \frac{\gamma_5 \Delta^\mu}{2M} U(P) + \dots$$

$$\implies \int dx \tilde{H}_q(x, \xi, \Delta^2) = g_A^q(\Delta^2) \quad \int dx \tilde{E}_q(x, \xi, \Delta^2) = g_P^q(\Delta^2)$$

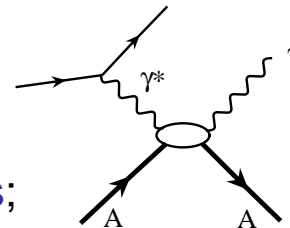
GPDs: A unique tool...

- to explore the **3-dimensional structure** of hadrons at **parton level** and for many other aspects...
- ...the most important here: access to the parton **orbital angular momentum (OAM)**, solution (?) of the “**Spin Crisis**”: **Ji Sum Rule**:

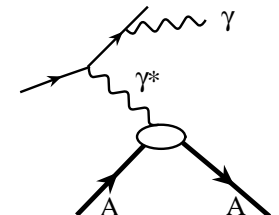
$$\langle J_q \rangle = \langle \Sigma_q \rangle + \langle L_q \rangle = \int_{-1}^1 dx x \tilde{G}_M^q(x, 0, 0)$$

... but also an experimental challenge:

- Hard exclusive processes \rightarrow small X-sections;
- Difficult extraction:



DVCS



BH

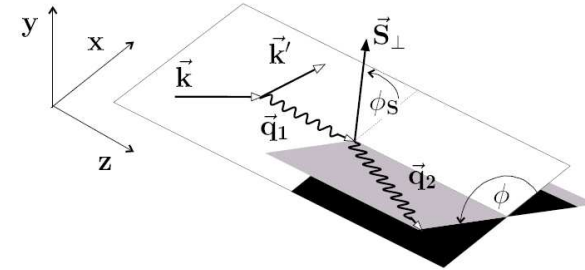
$$T_{\text{DVCS}} \propto \int_{-1}^1 dx \frac{H_q(x, \xi, \Delta^2)}{x - \xi + i\epsilon} + \dots$$

- Competing **BH** process! Interference (σ -differences) measured.

$$d\sigma \propto |T_{\text{DVCS}}|^2 + |T_{\text{BH}}|^2 + 2 \Re\{T_{\text{DVCS}} T_{\text{BH}}^*\}$$

Extracting GPDs

One measures asymmetries: $A = \frac{\sigma^+ - \sigma^-}{\sigma^+ + \sigma^-}$



- Polarized beam, unpolarized target:

$$\Delta\sigma_{LU} \simeq \sin\phi \left[F_1 \mathbf{H} + \xi(F_1 + F_2) \tilde{\mathbf{H}} + (\Delta^2 F_2 / M^2) \mathbf{E} / 4 \right] d\phi \quad \Rightarrow \quad \mathbf{H}$$

- Unpolarized beam, longitudinally polarized target:

$$\Delta\sigma_{UL} \simeq \sin\phi \left\{ F_1 \tilde{\mathbf{H}} + \xi(F_1 + F_2) \left[\mathbf{H} + \xi / (1 + \xi) \mathbf{E} \right] \right\} d\phi \quad \Rightarrow \quad \tilde{\mathbf{H}}$$

- Unpolarized beam, transversely polarized target:

$$\Delta\sigma_{UT} \simeq \cos\phi \sin(\phi_S - \phi) \left[\Delta^2 (F_2 \mathbf{H} - F_1 \mathbf{E}) / M^2 \right] d\phi \quad \Rightarrow \quad \mathbf{E}$$

To evaluate cross sections, e.g. for experiments planning, one needs $\mathbf{H}, \tilde{\mathbf{H}}, \mathbf{E}$

This is what we have calculated for ${}^3\text{He}$. Why nuclei? Why ${}^3\text{He}$?



Why nuclei?

Several relevant issues can be investigated...:

- the nuclear short range structure, at quark level, can be accessed and the reaction mechanism of DIS off nuclei, e.g. the validity of I.A. and the relevance of effects beyond it (non nucleonic degrees of freedom, nucleon modifications...) can be investigated... origin of the EMC effect...
- very important here: the neutron, always from nuclear targets

... with some effort: measurements are difficult:

- In principle, need for a recoil detector to be sure that the nucleus did not break (despite of this, some data are already available!);
- Few data already available:

Airapetian et al. (Hermes) NPB 829, 1 (2010); PRC 81, 035202 (2010)

$D(\vec{e}, e'\gamma)X$, $\vec{D}(\vec{e}, e'\gamma)X$, $Ne(\vec{e}, e'\gamma)X$; and then $He, N, Ne, Kr, Xe...$

Little A -dependence found

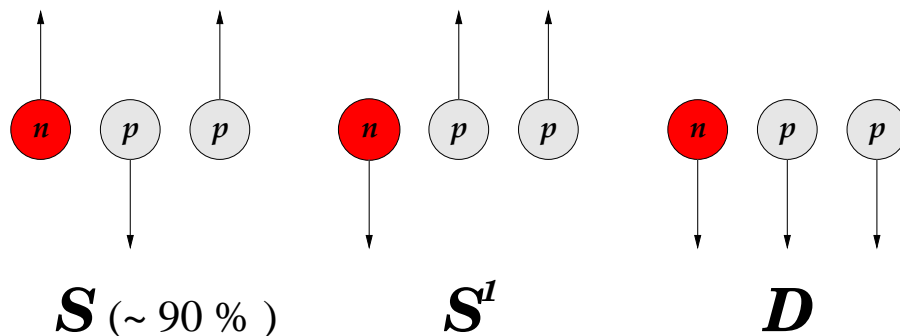
Mazouz et al. (JLab Hall A) PRL 99.242501 (2007); experiment E08-025 (2010)

$D(\vec{e}, e'\gamma)X = d(\vec{e}, e'\gamma)d + n(\vec{e}, e'\gamma)X + p(\vec{e}, e'\gamma)X$; **${}^3\text{He}$ target?**



GPDs for ^3He : why?

- ^3He is **theoretically well known**. Even a **relativistic treatment** may be implemented.
- ^3He has been used extensively as an **effective neutron target**, especially to unveil the **spin content** of the **free neutron**, due to its peculiar spin structure:



In S -wave
 $^3\vec{H}e = \vec{n}!$

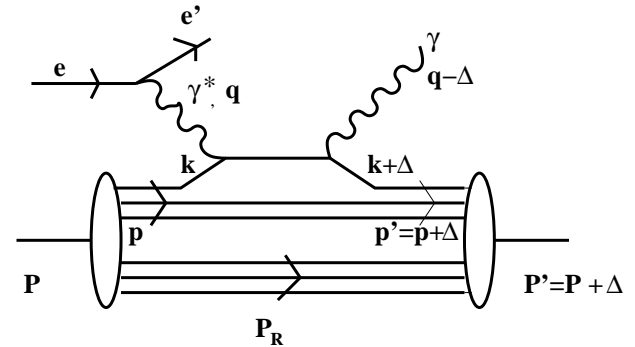
^3He always **promising** when the **neutron angular momentum properties** have to be studied. To what extent for **OAM** and \tilde{G}_M^q ? The answer here.

- To this aim, ^3He is a **unique** target:
 - * in isoscalar systems, such as ^2H and ^4He , the contribution of the neutron E_q is basically cancelled by that of the proton one ($\kappa_p \simeq -\kappa_n$); **vey difficult** to extract the neutron E_q , crucial to access **OAM**, in coherent experiments;
 - * **heavier targets do not allow refined theoretical treatments.**

GPDs of ${}^3\text{He}$ in IA

coherent DVCS in I.A.

(${}^3\text{He}$ does not break-up, $\Delta^2 \ll M^2, \xi^2 \ll 1$,):



In a symmetric frame ($\bar{p} = (p + p')/2$) :

$$\begin{aligned} k^+ &= (x + \xi)\bar{P}^+ = (x' + \xi')\bar{p}^+ , \\ (k + \Delta)^+ &= (x - \xi)\bar{P}^+ = (x' - \xi')\bar{p}^+ , \end{aligned}$$

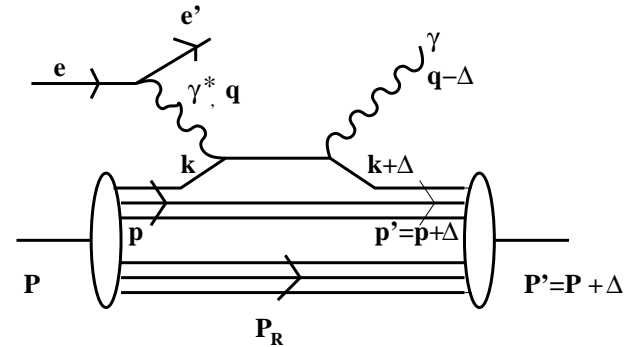
one has, for a given GPD, H_q , \tilde{H}_q or \tilde{G}_M^q ,

$$GPD_q(x, \xi, \Delta^2) \simeq \int \frac{dz^-}{4\pi} e^{ix\bar{P}^+z^-} {}_A\langle P' S' | \hat{O}_q^\mu | PS \rangle_A |_{z^+=0, z_\perp=0} .$$

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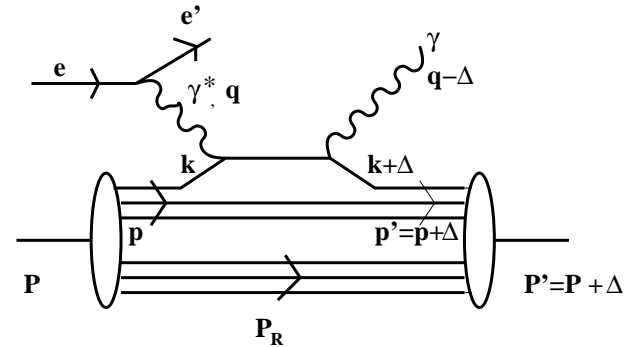
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By properly inserting complete sets of states for the interacting nucleon and the recoiling system :

GPDs of ^3He in IA

coherent DVCS in I.A.

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$$GPD_q(x, \xi, \Delta^2) = \int \frac{dz^-}{4\pi} e^{ix'\bar{p}^+z^-} \langle P'S' | \sum_{\vec{P}'_R, S'_R, \vec{p}', s'} \{ |P'_R S'_R\rangle |p' s'\rangle \} \langle P'_R S'_R |$$

$$\langle p' s' | \hat{O}_q^\mu \sum_{\vec{P}_R, S_R, \vec{p}, s} \{ |P_R S_R\rangle |ps\rangle \} \{ \langle P_R S_R | \langle ps | \} |PS\rangle ,$$

and, since $\{ \langle P_R S_R | \langle ps | \} |PS\rangle = \langle P_R S_R, ps | PS\rangle (2\pi)^3 \delta^3(\vec{P} - \vec{P}_R - \vec{p}) \delta_{S, S_R s} ,$

GPDs of ^3He in IA

H_q^A can be obtained in terms of H_q^N (S.S. PRC 70, 015205 (2004), PRC 79, 025207 (2009)):

$$H_q^A(x, \xi, \Delta^2) = \sum_N \int dE \int d\vec{p} \sum_S \sum_s P_{SS,ss}^N(\vec{p}, \vec{p}', E) \frac{\xi'}{\xi} H_q^N(x', \Delta^2, \xi'),$$

$\tilde{G}_M^{3,q}$ in terms of $\tilde{G}_M^{N,q}$ (M. Rinaldi, S.S. PRC 85, 062201(R) (2012); PRC 87, 035208 (2013)):

$$\tilde{G}_M^{3,q}(x, \Delta^2, \xi) = \sum_N \int dE \int d\vec{p} [P_{+-,+ -}^N - P_{+-,- +}^N](\vec{p}, \vec{p}', E) \frac{\xi'}{\xi} \tilde{G}_M^{N,q}(x', \Delta^2, \xi'),$$

and \tilde{H}_q^A can be obtained in terms of \tilde{H}_q^N (preliminary):

$$\tilde{H}_q^A(x, \xi, \Delta^2) = \sum_N \int dE \int d\vec{p} [P_{++;++}^N - P_{++,- -}^N](\vec{p}, \vec{p}', E) \frac{\xi'}{\xi} \tilde{H}_q^N(x', \Delta^2, \xi'),$$

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where $P_{SS,ss}^N(\vec{p}, \vec{p}', E)$ is the one-body, spin-dependent, off-diagonal spectral function for the nucleon N in the nucleus,

$$P_{SS',ss'}^N(\vec{p}, \vec{p}', E) = \frac{1}{(2\pi)^6} \frac{M\sqrt{ME}}{2} \int d\Omega_t \sum_{st} \langle \vec{P}' S' | \vec{p}' s', \vec{t}_{st} \rangle_N \langle \vec{p} s, \vec{t}_{st} | \vec{P} S \rangle_N,$$

evaluated by means of a **realistic** treatment based on **Av18 wave functions** (w.f. from A. Kievsky *et al* NPA 577, 511 (1994), overlaps from A. Kievsky *et. al*, PRC 56, 64 (1997)).

Nucleon GPDs: initially, a simple model by Radyushkin&Musatov (PRD 61, 074027 (2000))



The $\tilde{G}_M^{3,q}$ calculation has the correct limits:

For H_q^3 , correct forward limit and x -integral (S.S. PRC 70, (2004), PRC 79, (2009));

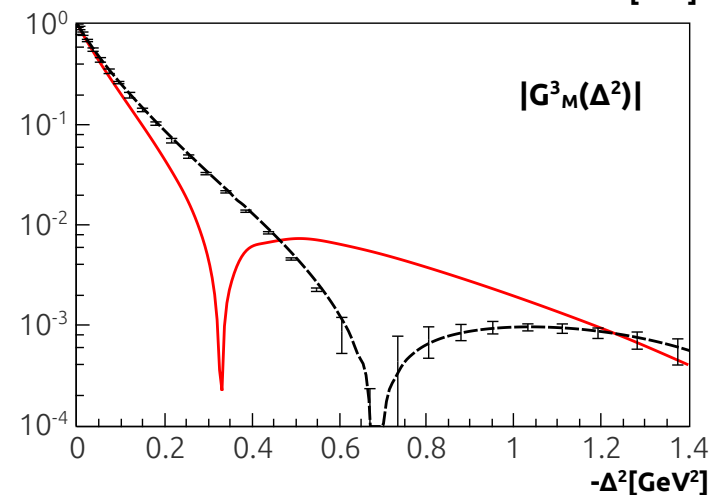
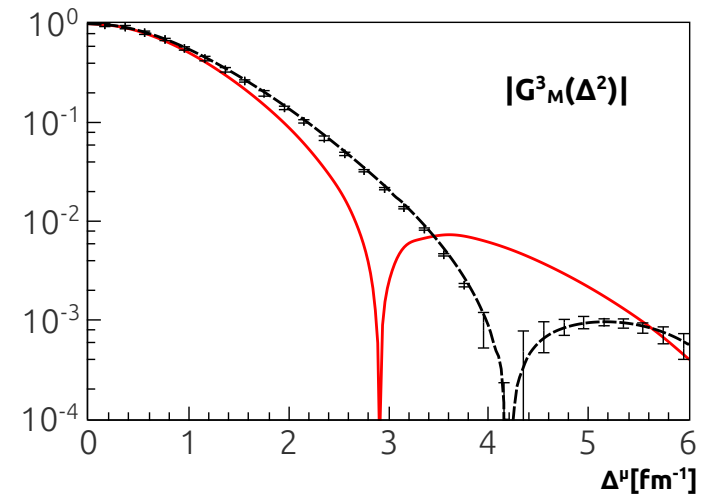
For \tilde{G}_M^3 (M. Rinaldi, S.S. PRC 85, 062201(R) (2012); PRC 87, 035208 (2013)):

1 - Forward limit: no control on $E_q^3(x, 0, 0)$
no possible check;

2 - Magnetic F.F.:

$$\sum_q \int dx \tilde{G}_M^{3,q}(x, \xi, \Delta^2) = G_M^3(\Delta^2)$$

- in perfect agreement with previous IA, Av18 calculations (L.E. Marcucci et al. PRC 58 (1998))
- in good agreement with data in the region relevant to the coherent process, $-\Delta^2 \ll 0.15 \text{ GeV}^2$
- To have agreement at higher Δ^2 , effects beyond IA are necessary: not important for the coherent channel!



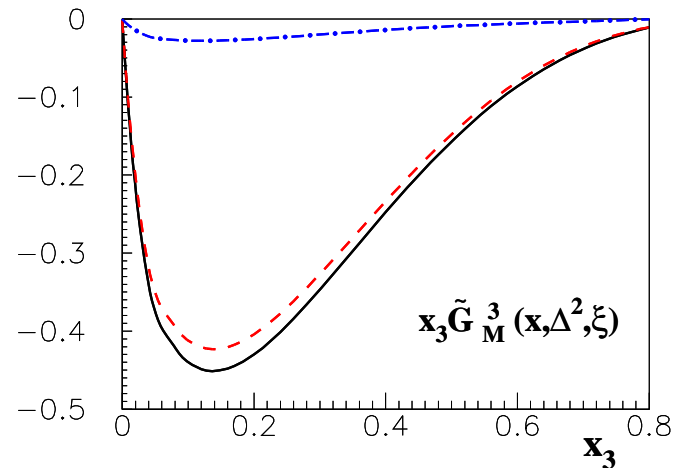
$\tilde{G}_M^{3,q}$: proton and neutron contributions

1 - Forward limit, $\Delta^2 = 0$, $\xi = 0$:

As we hoped, the **neutron** contribution to ${}^3\text{He}$ largely dominates!

($x_3 = (M_A/M)x \simeq 3x$):

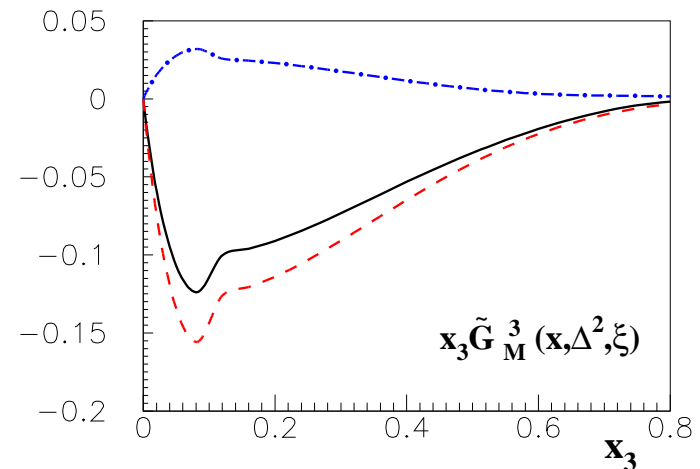
The **proton** contribution to ${}^3\text{He}$ is almost negligible!



2 - Non-forward, $\Delta^2 = -0.1 \text{ GeV}^2$, $\xi = 0.1$:

The **neutron** contribution to ${}^3\text{He}$ still dominates
The **proton** contribution to ${}^3\text{He}$ gets sizable

How to get the **neutron** information?



$\tilde{G}_M^{3,q}$: Flavor separation

For the u flavor, the neutron contribution (dashed) to ${}^3\text{He}$ (full) is less important than for the d flavor:

Understandable, sketching the formula:

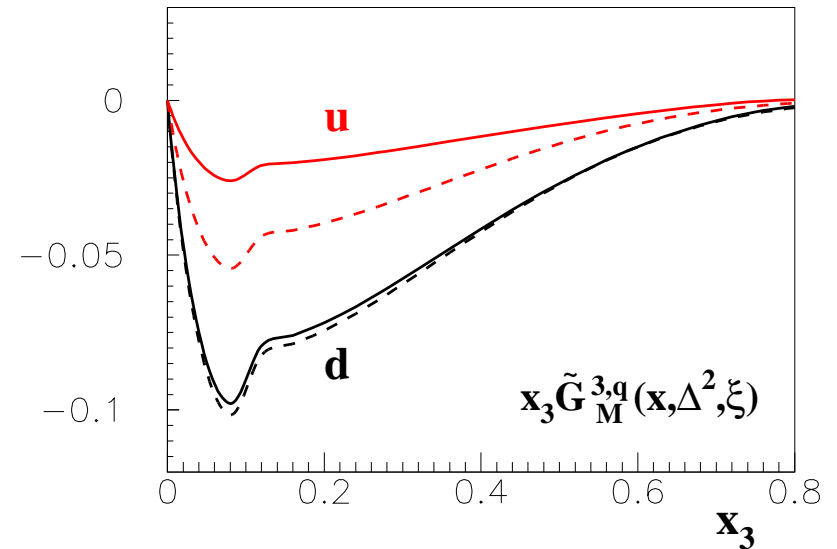
$$\tilde{G}_M^{3,q} \approx P_p^3 \otimes \tilde{G}_M^{p,q} + P_n^3 \otimes \tilde{G}_M^{n,q},$$

where $P_{p(n)}^3$ describes the proton (neutron) dynamics in ${}^3\text{He}$.

As already explained, due to the spin structure of ${}^3\text{He}$, $P_n^3 \gg P_p^3 \rightarrow$ neutron dominates in the forward limit.

With increasing Δ^2 , for the u flavor, $\tilde{G}_M^{p,u} \gg \tilde{G}_M^{n,u} \rightarrow$ the proton contribution grows. Not for d !

Besides, 1/2 of the d content of ${}^3\text{He}$ comes from the neutron, only 1/5 of the u one comes from it.



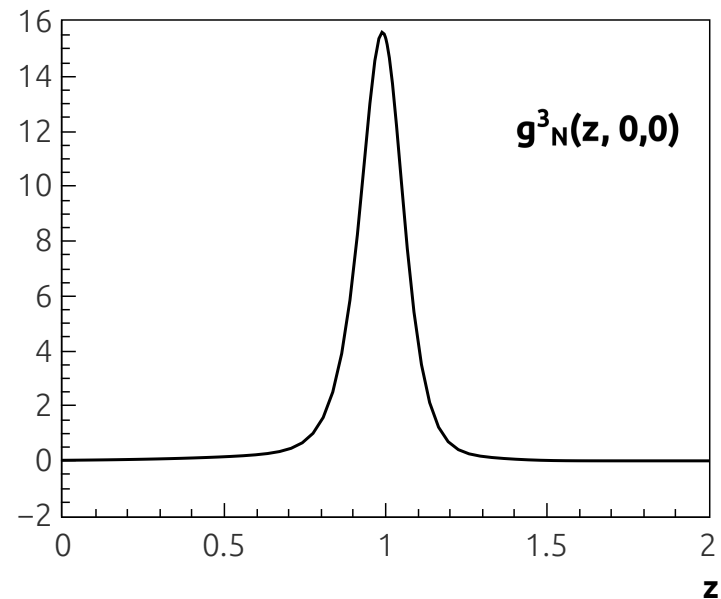
Extracting the neutron - I:

The convolution formula can be written as

$$\tilde{G}_M^{3,q}(x_3, \Delta^2, \xi) = \sum_N \int_{x_3}^{\frac{M_A}{M}} \frac{dz}{z} g_N^3(z, \Delta^2, \xi) \tilde{G}_M^{N,q} \left(\frac{x_3}{z}, \Delta^2, \frac{\xi}{z} \right),$$

where $g_N^3(z, \Delta^2, \xi)$ is a “light cone off-forward momentum distribution” and, since close to the forward limit it is strongly peaked around $z = 1$

$$g_N^3(z, \Delta^2, \xi) = \int dE \int d\vec{p} \tilde{P}_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta \left(z + \xi - \frac{M_A}{M} \frac{p^+}{P^+} \right)$$



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$$\begin{aligned} \tilde{G}_M^{3,q}(x_3, \Delta^2, \xi) &\simeq \text{low } \Delta^2 \simeq \sum_N \tilde{G}_M^{N,q}(x_3, \Delta^2, \xi) \int_0^{\frac{M_A}{M}} dz g_N^3(z, \Delta^2, \xi) \\ &= G_M^{3,p,point}(\Delta^2) \tilde{G}_M^p(x_3, \Delta^2, \xi) + G_M^{3,n,point}(\Delta^2) \tilde{G}_M^n(x_3, \Delta^2, \xi). \end{aligned}$$

where, at $x_3 < 0.7$, the **magnetic point like ff** has been introduced

$$G_M^{3,N,point}(\Delta^2) = \int dE \int d\vec{p} \tilde{P}_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \int_0^{\frac{M_A}{M}} dz g_N^3(z, \Delta^2, \xi).$$

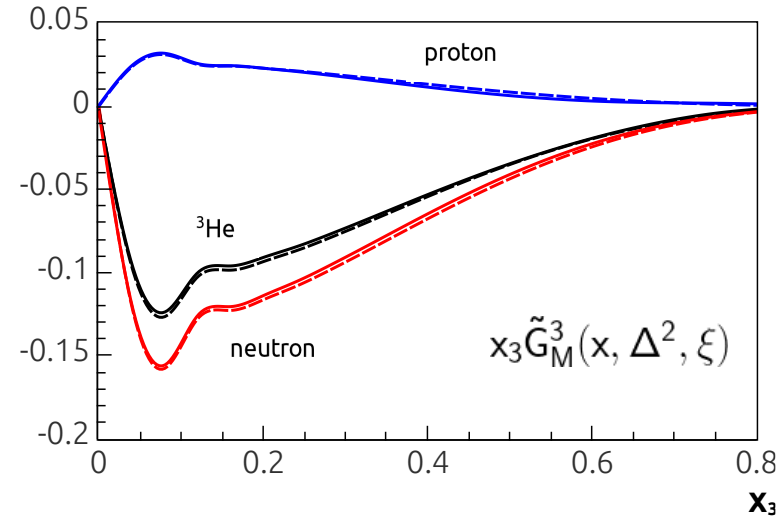


Extracting the neutron - II:

Validity of the approximated formula:

full: IA calculation, $\tilde{G}_M^3(x, \Delta^2, \xi)$ and
proton and **neutron** contributions to it,
 at $\Delta^2 = -0.1 \text{ GeV}^2$, $\xi = 0.1$;

dashed: same quantities, with the
 approximated formula:



$$\begin{aligned} \tilde{G}_M^{3,q}(x, \Delta^2, \xi) &\simeq G_M^{3,p,point}(\Delta^2) \tilde{G}_M^p(x, \Delta^2, \xi) \\ &+ G_M^{3,n,point}(\Delta^2) \tilde{G}_M^n(x, \Delta^2, \xi) \end{aligned}$$

Impressive agreement! The **only Nuclear Physics ingredient** in the approximated formula is the **magnetic point like ff**, which is under good theoretical control:

Δ^2 [GeV ²]	$G_M^{3,p,point}$ Av18	$G_M^{3,p,point}$ Av14	$G_M^{3,n,point}$ Av18	$G_M^{3,n,point}$ Av14
0	-0.044	-0.049	0.879	0.874
-0.1	0.040	0.038	0.305	0.297
-0.2	0.036	0.035	0.125	0.119

Extracting the neutron - III:

The approximated relation can now be solved to extract the neutron contribution:

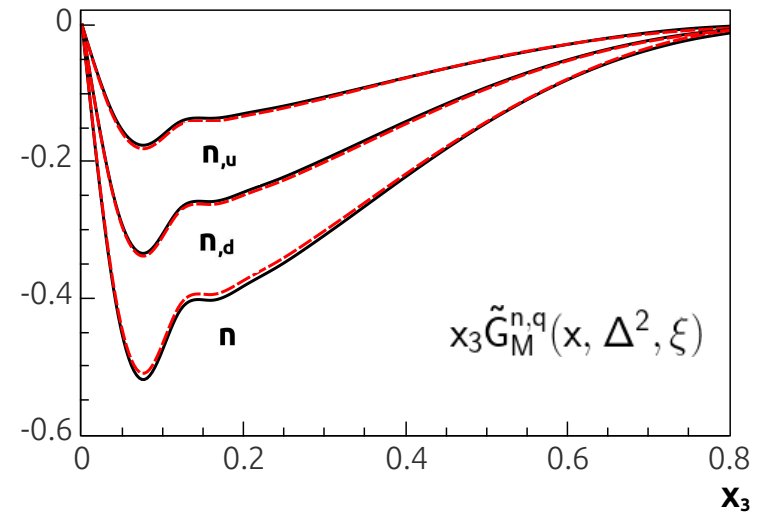
$$\tilde{G}_M^{n,extr}(x, \Delta^2, \xi) \simeq \frac{1}{G_M^{3,n,point}(\Delta^2)} \left\{ \tilde{G}_M^3(x, \Delta^2, \xi) - G_M^{3,p,point}(\Delta^2) \tilde{G}_M^p(x, \Delta^2, \xi) \right\},$$

from data for $\tilde{G}_M^3(x, \Delta^2, \xi)$ and $\tilde{G}_M^p(x, \Delta^2, \xi)$, using as theoretical ingredients the **magnetic point like ffs** only.

The procedure works nicely!

full : the neutron model for $\tilde{G}_M^n(x, \Delta^2, \xi)$ and the different flavor contributions to it used in the IA calculation, at $\Delta^2 = -0.1 \text{ GeV}^2$, $\xi = 0.1$;

dashed: the neutron extracted using the IA calculation for $\tilde{G}_M^3(x, \Delta^2, \xi)$ and the model used in it for $\tilde{G}_M^p(x, \Delta^2, \xi)$ together with the **magnetic point like ffs**.



Extracting the neutron - IV:

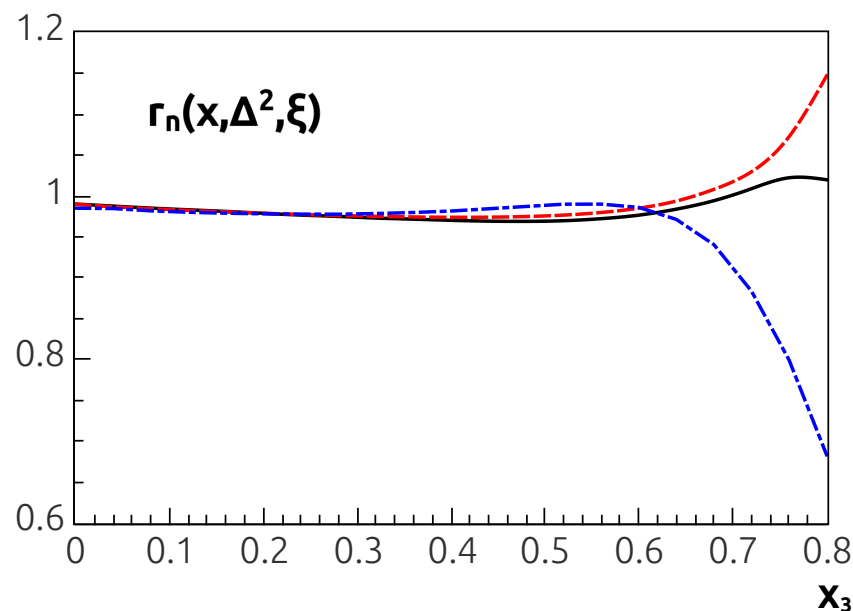
The validity of the extraction procedure is emphasized showing the following ratio, which would be one if the procedure were perfect:

$$r_n(x, \Delta^2, \xi) = \frac{\tilde{G}_M^{n,extr}(x, \Delta^2, \xi)}{\tilde{G}_M^n(x, \Delta^2, \xi)}$$

full: forward limit

dashed: $\Delta^2 = -0.1 \text{ GeV}^2, \xi = 0$;

dot-dashed: $\Delta^2 = -0.1 \text{ GeV}^2, \xi = 0.1$;



at $x < 0.7$, in all the kinematical range relevant for coherent DVCS at JLab, the error in the extraction is a few percents.

Extracting the neutron - V:

The validity of the extraction procedure is emphasized showing the same ratio, evaluated using different models for the GPDs as input in the IA calculation:

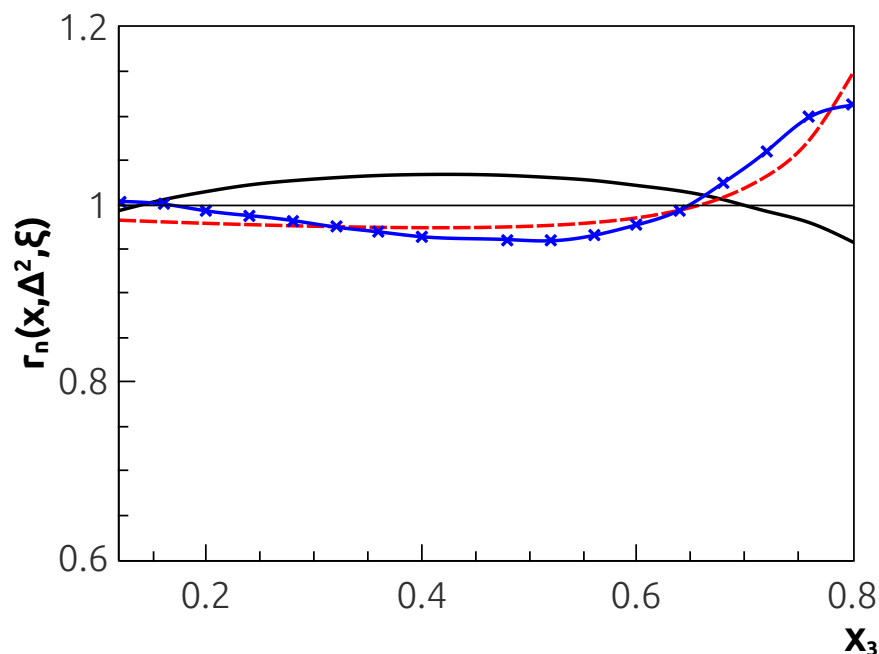
$$r_n(x, \Delta^2, \xi) = \frac{\tilde{G}_M^{n,extr}(x, \Delta^2, \xi)}{\tilde{G}_M^n(x, \Delta^2, \xi)}$$

dashed: the model of Radyushkin, at $\Delta^2 = -0.1 \text{ GeV}^2, \xi = 0$;

full: a very different model based on a constituent quark scenario (S.S, V. Vento EPJA 16, 527 (2003)) at $\Delta^2 = -0.1 \text{ GeV}^2, \xi = 0$;

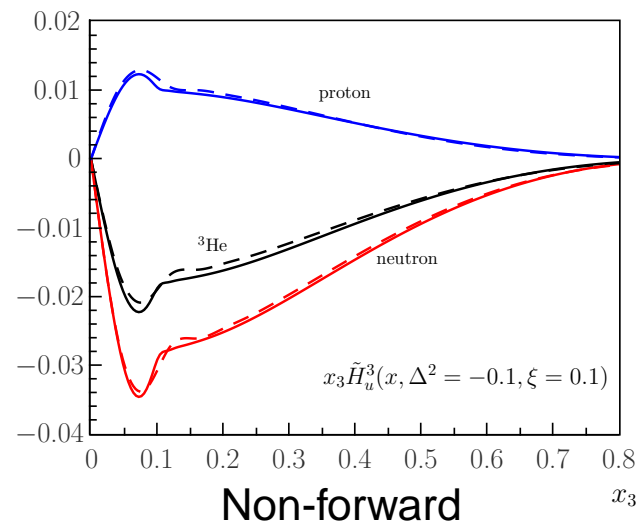
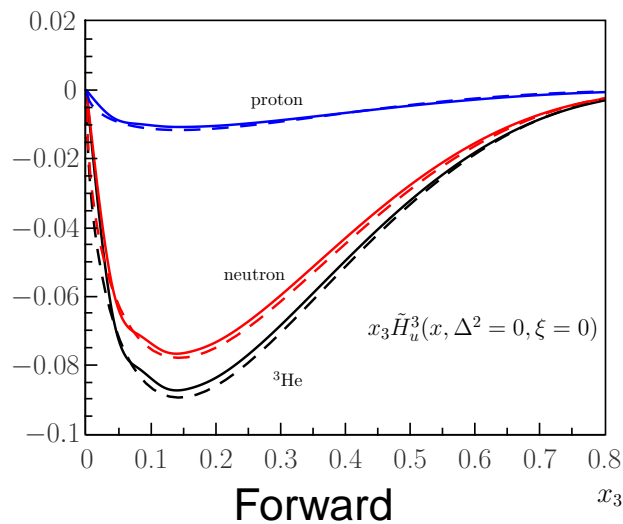
crosses: another very different model, the MIT bag model (X.-D. Ji et al., PRD 56 (1997) 5511) at $\Delta^2 = -0.1 \text{ GeV}^2, \xi = 0$.

at $x < 0.7$, in all the kinematical range relevant for coherent DVCS at JLab, the error in the extraction due to the use of a different nucleonic model is a few percents at most.



The GPD \tilde{H} : preliminary

$\tilde{H}^{3,u}(x, \Delta^2, \xi)$ and proton and (dominant!) neutron contributions to it:



full: IA calculation; dashed: approximated formula:

$$\tilde{H}^{3,u}(x, \Delta^2, \xi) \simeq g_A^{3,p,point}(\Delta^2) \tilde{H}^{p,u}(x, \Delta^2, \xi) + g_A^{3,n,point}(\Delta^2) \tilde{H}^{n,u}(x, \Delta^2, \xi)$$

Good agreement! The **only Nuclear Physics ingredient** in the approximated formula is the **axial point like ff**, which is under good theoretical control.

One has $g_A^{3,N,point}(\Delta^2 = 0) = p_N$, nucleon effective polarizations (within AV18, $p_n = 0.878$, $p_p = -0.024$), used in DIS for extracting the neutron information from ^3He (C. Ciofi, S.S. E. Pace and G. Salmè, PRC 48 R968 (1993)). **Forward limit correctly recovered!**



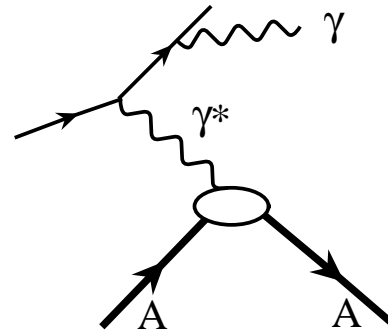
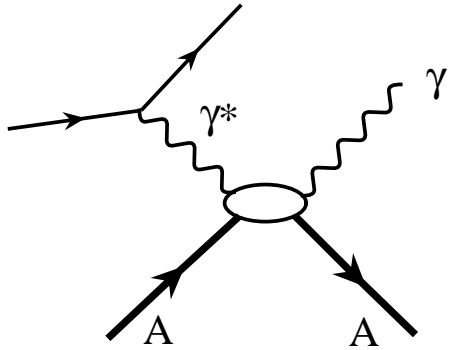
Conclusions

- What we have:
 - * An instant form, I.A. calculation of H^3 , \tilde{G}_M^3 , \tilde{H}^3 , within AV18;
 - * the neutron contribution dominates \tilde{G}_M^3 and \tilde{H}^3 at low Δ^2 ;
 - * an extraction procedure of the neutron information, able to take into account all the nuclear effects encoded in an IA analysis, weakly dependent on both the nuclear potential and the nucleonic model used in the calculation;
- What we can do now: to estimate X-sections (DVCS, BH, Interference)
→ a proposal of coherent DVCS off ^3He at JLab@12 GeV?
- What has to be done, in case experiments are performed at higher Δ^2 :
 - * To implement a RELATIVISTIC TREATMENT (ready!)
(A. Del Dotto's talk, later in this session)
 - * and/or to go beyond IA, including many body currents into the scheme.
- What is expected:
 - * The OAM parton structure of the neutron;
 - * A deeper understanding of the nuclear parton structure;

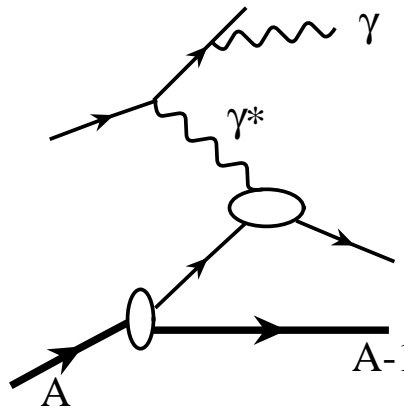
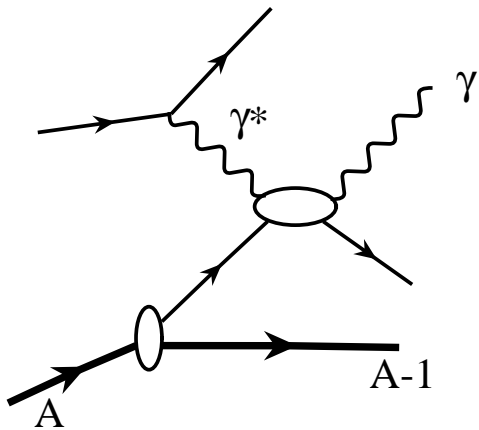
Importance of nuclear FB systems for QCD studies



coherent vs. incoherent DVCS:



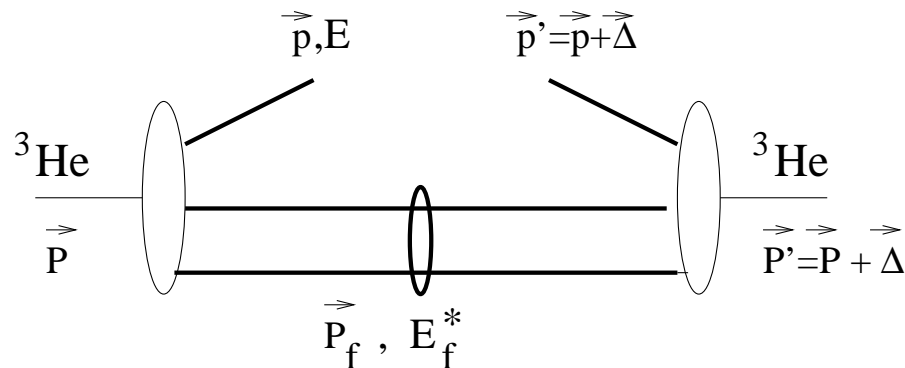
Coherent



Incoherent

A few words about $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$:

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \frac{1}{(2\pi)^3} \frac{1}{2} \sum_M \sum_{f,s} \langle \vec{P}' M | (\vec{P} - \vec{p}) S_f, (\vec{p} + \vec{\Delta}) s \rangle \\ \times \langle (\vec{P} - \vec{p}) S_f, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_f^*).$$



- the two-body recoiling system can be either the deuteron or a scattering state;
- when a deeply bound nucleon, with high removal energy $E = E_{min} + E_f^*$, leaves the nucleus, the recoiling system is left with high excitation energy E_f^* ;
- the three-body bound state and the two-body bound or scattering state are evaluated within the same (Av18) interaction: the extension of the treatment to heavier nuclei would be extremely difficult

The calculation has the correct limits:

1 - Forward limit: the ratio:

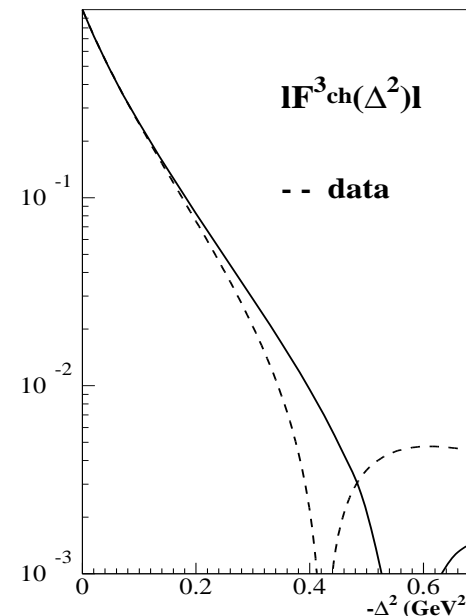
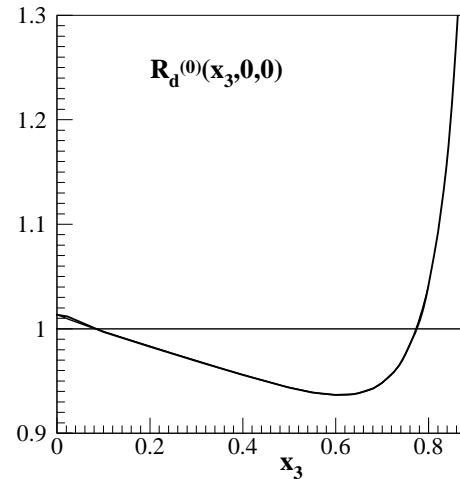
$$R_q(x, 0, 0) = \frac{H_q^3(x, 0, 0)}{2H_q^p(x, 0, 0) + H_q^n(x, 0, 0)}$$
$$= \frac{q^3(x)}{2q^p(x) + q^n(x)}$$

shows an EMC-like behavior;

2 - Charge F.F.:

$$\int dx H_q^3(x, \xi, \Delta^2) = F_q^3(\Delta^2)$$

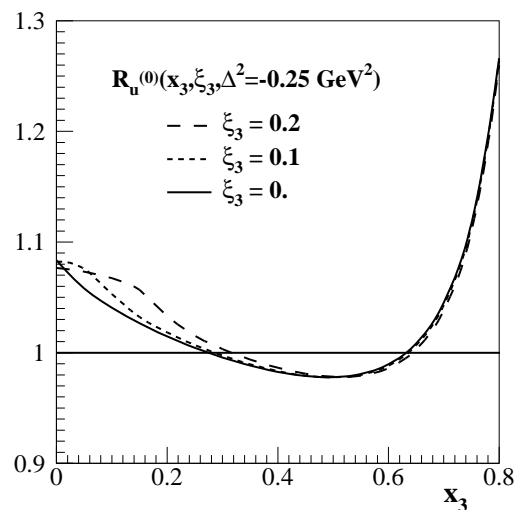
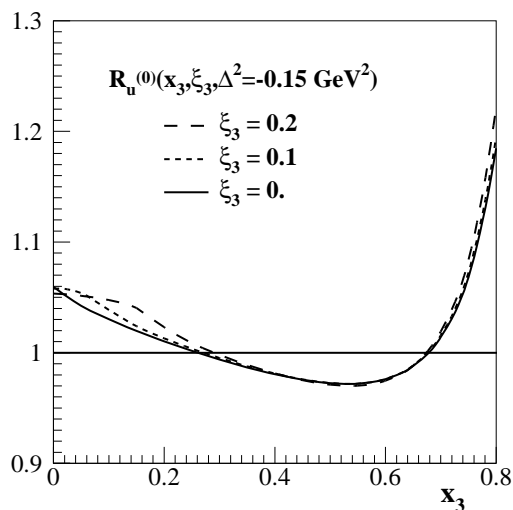
in good agreement with data in the region relevant to the coherent process, $\Delta^2 \ll 0.25 \text{ GeV}^2$.



Nuclear effects - general features



Nuclear effects grow with ξ at fixed Δ^2 , and with Δ^2 at fixed ξ :



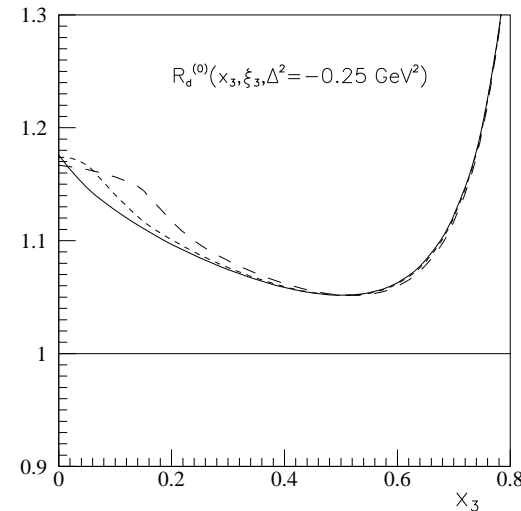
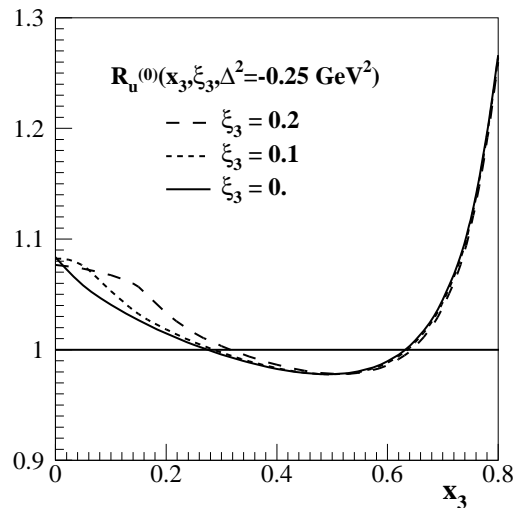
$$R_q^{(0)}(x, \xi, \Delta^2) = \frac{H_q^3(x, \xi, \Delta^2)}{2H_q^{3,p}(x, \xi, \Delta^2) + H_q^{3,n}(x, \xi, \Delta^2)}$$

$$H_q^{3,N}(x, \xi, \Delta^2) = \tilde{H}_q^N(x, \xi) F_q^3(\Delta^2)$$

$R_q^{(0)}(x, \xi, \Delta^2)$ would be one if there were no nuclear effects;
as it is found also for the deuteron, there is **no factorization** into terms
dependent separately on Δ^2 and x, ξ (the factorization hypotheses has been
used to estimate nuclear GPDs).

Nuclear effects - flavor dependence

Nuclear effects are bigger for the d flavor rather than for the u flavor:



$$R_q^{(0)}(x, \xi, \Delta^2) = \frac{H_q^3(x, \xi, \Delta^2)}{2H_q^{3,p}(x, \xi, \Delta^2) + H_q^{3,n}(x, \xi, \Delta^2)}$$

$$H_q^{3,N}(x, \xi, \Delta^2) = \tilde{H}_q^N(x, \xi) F_q^3(\Delta^2)$$

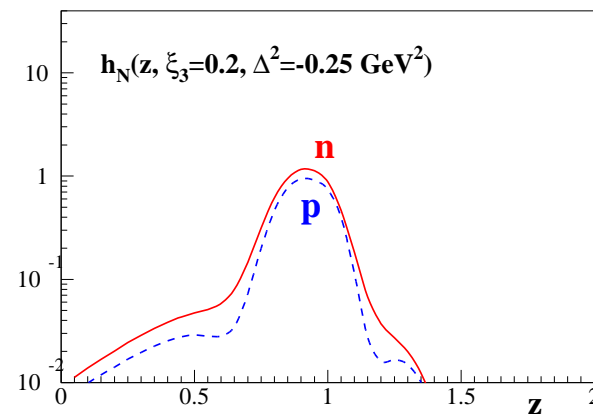
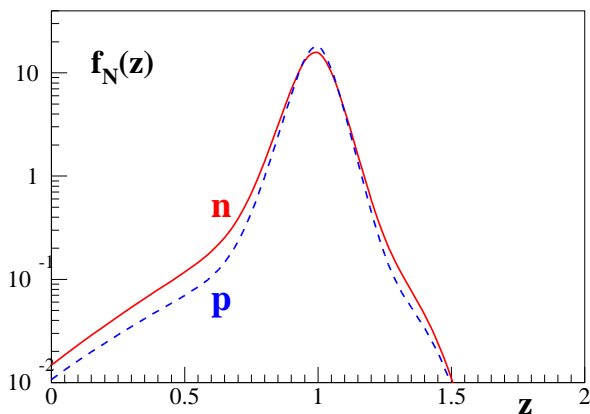
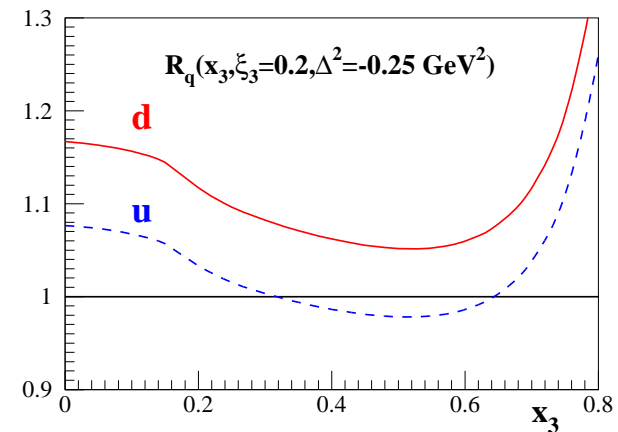
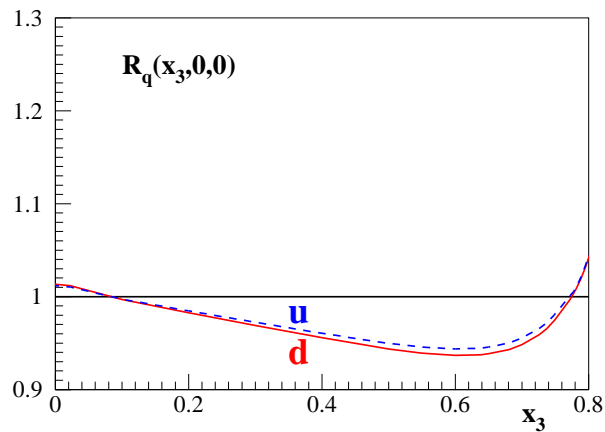
$R_q^{(0)}(x, \xi, \Delta^2)$ would be one if there were no nuclear effects;

This is a typical **conventional, IA** effect (spectral functions are different for p and n in ${}^3\text{He}$, not isoscalar!); if (not) found, clear indication on the reaction mechanism of **DIS off nuclei**.

Nuclear effects - flavor dependence

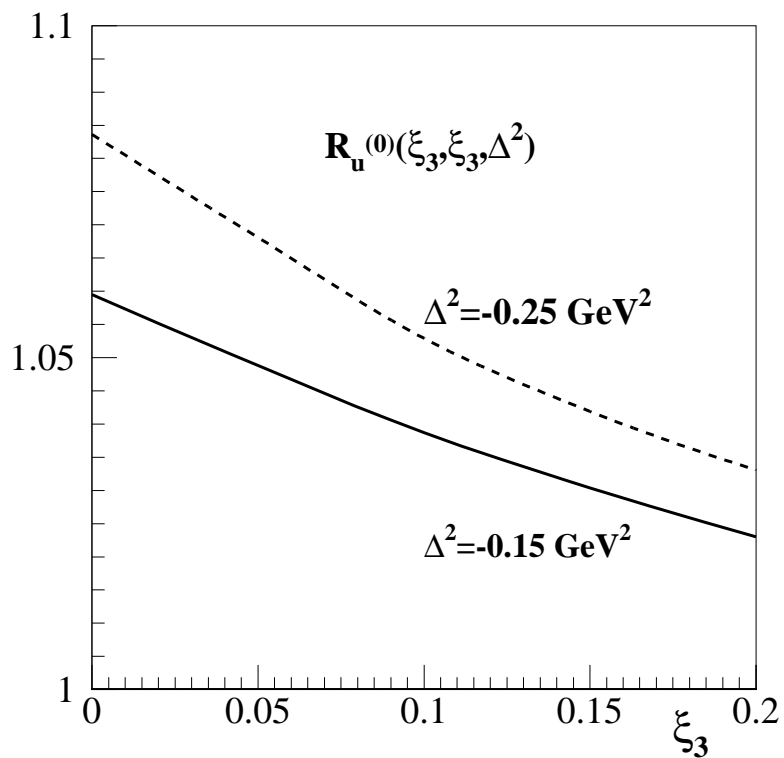


The **d** and **u** distributions follow the pattern of the **neutron** and **proton** light-cone momentum distributions, respectively:



Nuclear effects @ $x = \xi$

- Nuclear effects are large even in the important region $x = \xi$:



Nuclear effects - the binding

General IA formula: $H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int_x^1 \frac{dz}{z} h_N^A(z, \xi, \Delta^2) H_q^N\left(\frac{x}{z}, \frac{\xi}{z}, \Delta^2\right)$

where

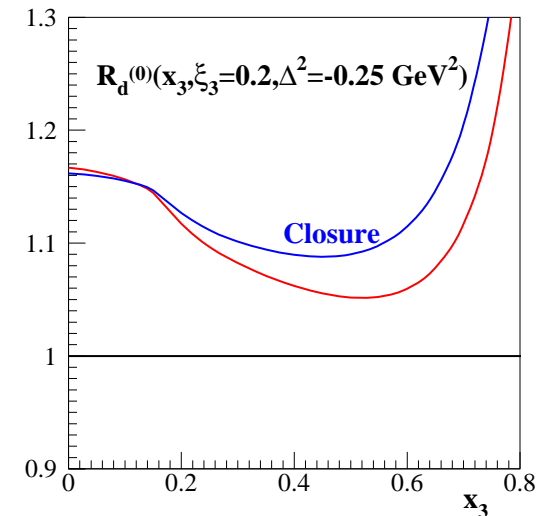
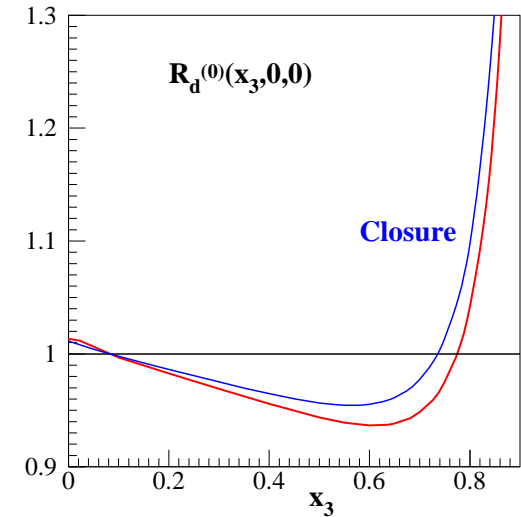
$$h_N^A(z, \xi, \Delta^2) = \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta\left(z + \xi - \frac{p^+}{P^+}\right)$$

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \sum_M \sum_{s,f} \langle \vec{P}' M | \vec{P}_f, (\vec{p} + \vec{\Delta}) s \rangle \\ \times \langle \vec{P}_f, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_f^*)$$

using the Closure Approximation, $E_f^* = \bar{E}$:

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) \simeq \sum_M \sum_s \langle \vec{P}' M | a_{\vec{p}+\vec{\Delta},s} a_{\vec{p},s}^\dagger | \vec{P} M \rangle \\ \delta(E - E_{min} - \bar{E}) = \\ = n(\vec{p}, \vec{p} + \vec{\Delta}) \delta(E - E_{min} - \bar{E}),$$

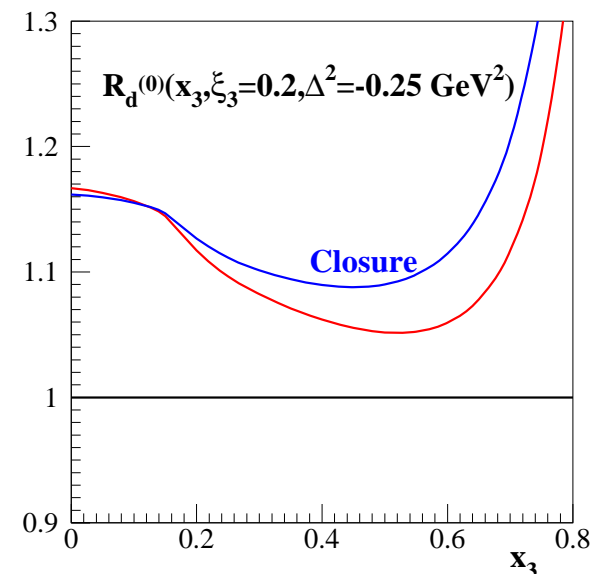
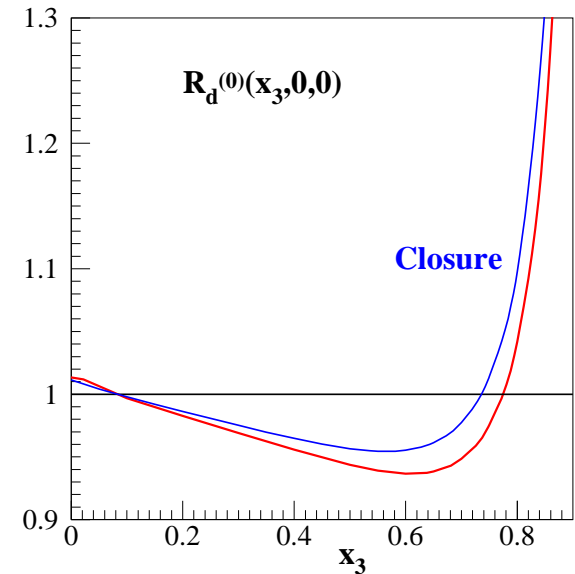
Spectral function substituted by a Momentum distribution



Nuclear effects - the binding

Nuclear effects are bigger than in the forward case: dependence on the binding

- In calculations using $n(\vec{p}, \vec{p} + \vec{\Delta})$ instead of $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$, in addition to the IA, also the Closure approximation has been assumed;
- 5 % to 10 % binding effect between $x = 0.4$ and 0.7 - much bigger than in the forward case;
- for $A > 3$, the evaluation of $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$ is difficult - such an effect is not under control: Conventional nuclear effects can be mistaken for exotic ones;
- for ${}^3\text{He}$ it is possible : this makes it a unique target, even among the Few-Body systems.

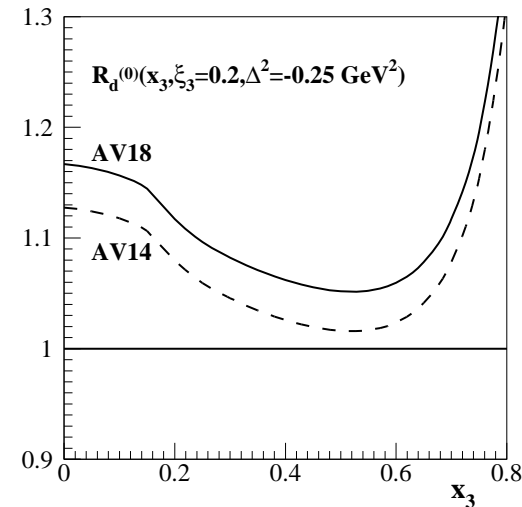
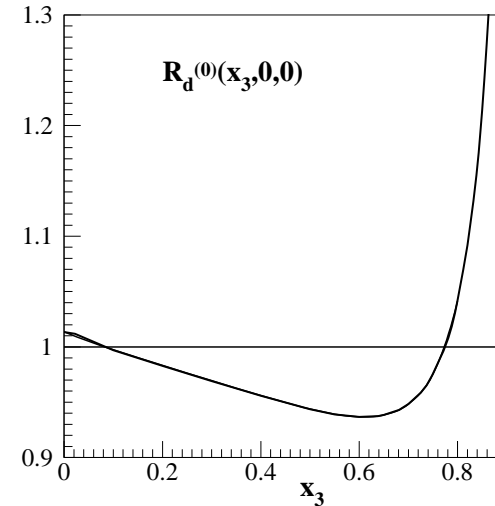


Dependence on the NN interaction

Nuclear effects are bigger than in the forward case: dependence on the potential

● **Forward case:** Calculations using the **AV14** or **AV18** interactions are **indistinguishable**

● **Non-forward case:** Calculations using the **AV14** and **AV18** interactions **do differ:**



Why nuclei? - (many reasons...)

I will show results for ${}^3\text{He}$, in IA, for the **coherent channel**... But there is much more:

- **for the deuteron:**
Berger, Cano, Diehl, Pire, PRL 87 (2001) 142302; Cano & Pire, EPJA 19 (2004) 423; Kirchner & Müller, EPJC 32 (2003) 347.
- **beyond the coherent channel:**
Liuti & Taneja PRC 72 032201 (R) 2005: spin-0 nuclei, applied to ${}^4\text{He}$;
Guzey, PRC 78 (2008) 025211: constraining the neutron information from incoherent DVCS off nuclei at large t (spin-0 nuclei);
- **beyond IA** (Shadowing: low x_{Bj} , large distances):
Freund & Strikman, PRC 69 (2004) 015203; Goeke et al., EPJ. A36 (2008) 49-60;
- **for finite-heavy nuclei:**
Guzey & Strikman, PRC 68 (2003) 015204; Kirchner & Müller, EPJC 32, 347 (2003)
- discussing **other issues**: **Color Transparency phenomena**, Liuti & Taneja, PRD 70, 074019 (2004); **Energy-momentum tensor** in nuclei: Polyakov PLB 555 (2003) 57; Guzey & Siddikov J. Phys. G 32, 251 (2006); Guzey, Thomas, Tsushima PRC 79 (2009) 055205 ...

