

On the failure of theoretical descriptions of double ionization of helium by high energy electron impact

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Théorie, **M**odélisation, **S**imulation
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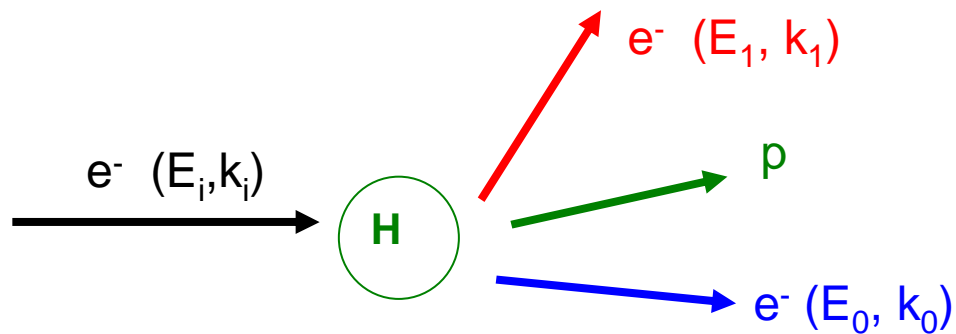
FRAMEWORK

- Atomic physics
- Coulomb three-body problem
- Configuration space

OUTLINE

- Ionization processes on He
 - What is working
 - What is not working
- Proposal of 2 **three-body S-wave models** to test numerical methods
Method: **Generalized Sturmian Functions**
- Summary

SINGLE IONIZATION : (e,2e) on H

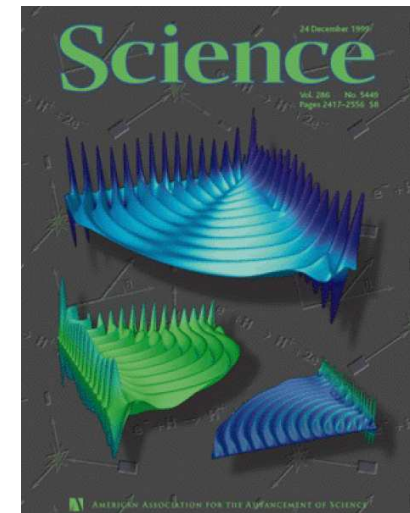


Detection in coincidence:
Kinematically complete

TDCS

$$\frac{d^3\sigma}{d\Omega_1 d\Omega_0 dE_1}$$

- **Pure Coulomb 3-body problem in final channel**
- **Solved numerically at the end of century**
[before that the Temkin-Poet model ($r_{12} \rightarrow r_>$)
was used to test numerical methods !]
- **Agreement between theories and experiments**



From now:
only He

SINGLE IONIZATION : (e,2e) on He

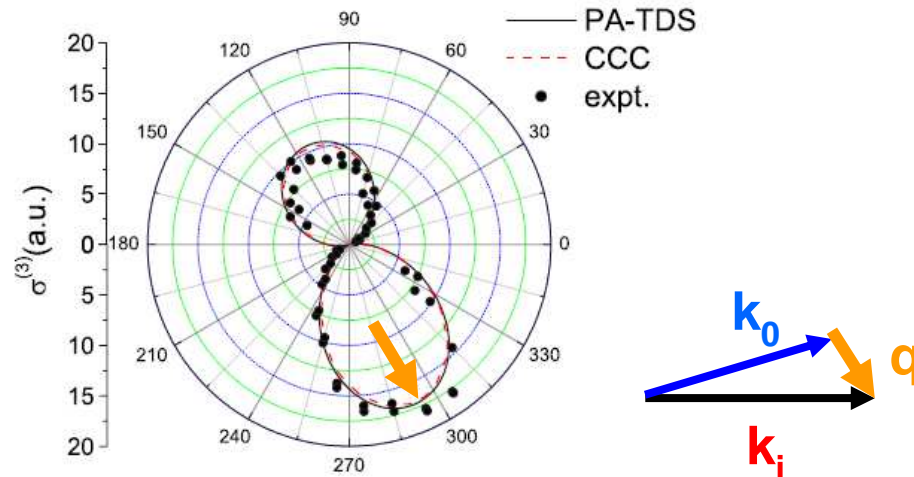
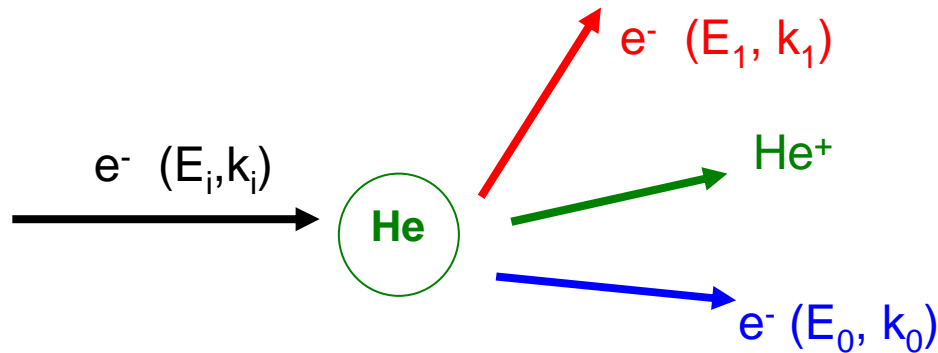


FIG. 4. (Color online) Single-ionization triple-differential cross section versus the ejection angle for the energy of impact electron, $E_i=5600$ eV; the scattering angle $\theta_s=0.45$; and the energy of ejected electron, $E_{1,2}=10$ eV.

Detection in coincidence:
Kinematically complete

TDCS

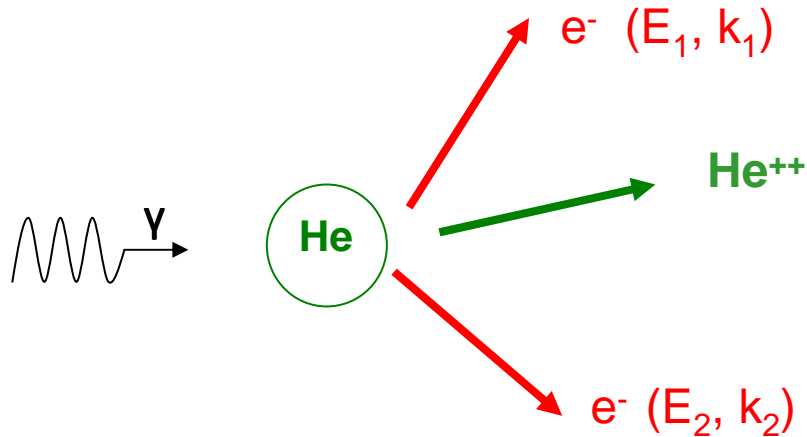
$$\frac{d^3\sigma}{d\Omega_1 d\Omega_0 dE_1}$$

Pure 4-body problem
(6 interactions)
(3-body within FBA)

**Agreement
between 2 theories
and with experiments**

Serov et al (PRA, 2007)
Kheifets et al (JPB, 1999)

DOUBLE IONIZATION : ($\gamma, 2e$)

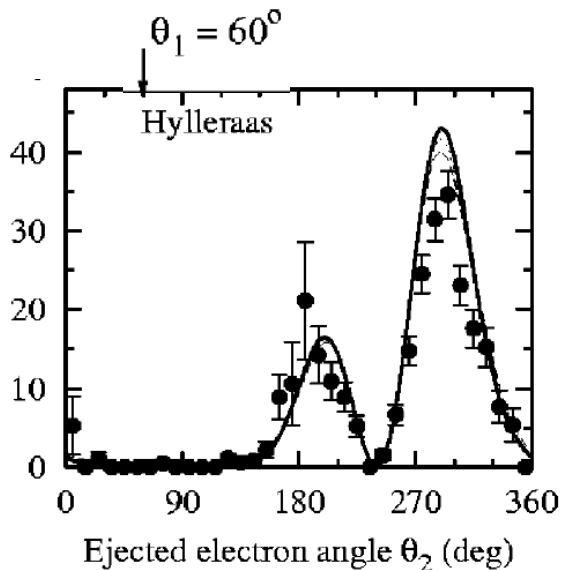


Pure 3-body problem
(3 interactions)

Detection in coincidence:
TDCS

$$\frac{d^3\sigma}{d\Omega_1 d\Omega_2 dE_2}$$

TDCS $E_1 = E_2 = 10 \text{ eV}$



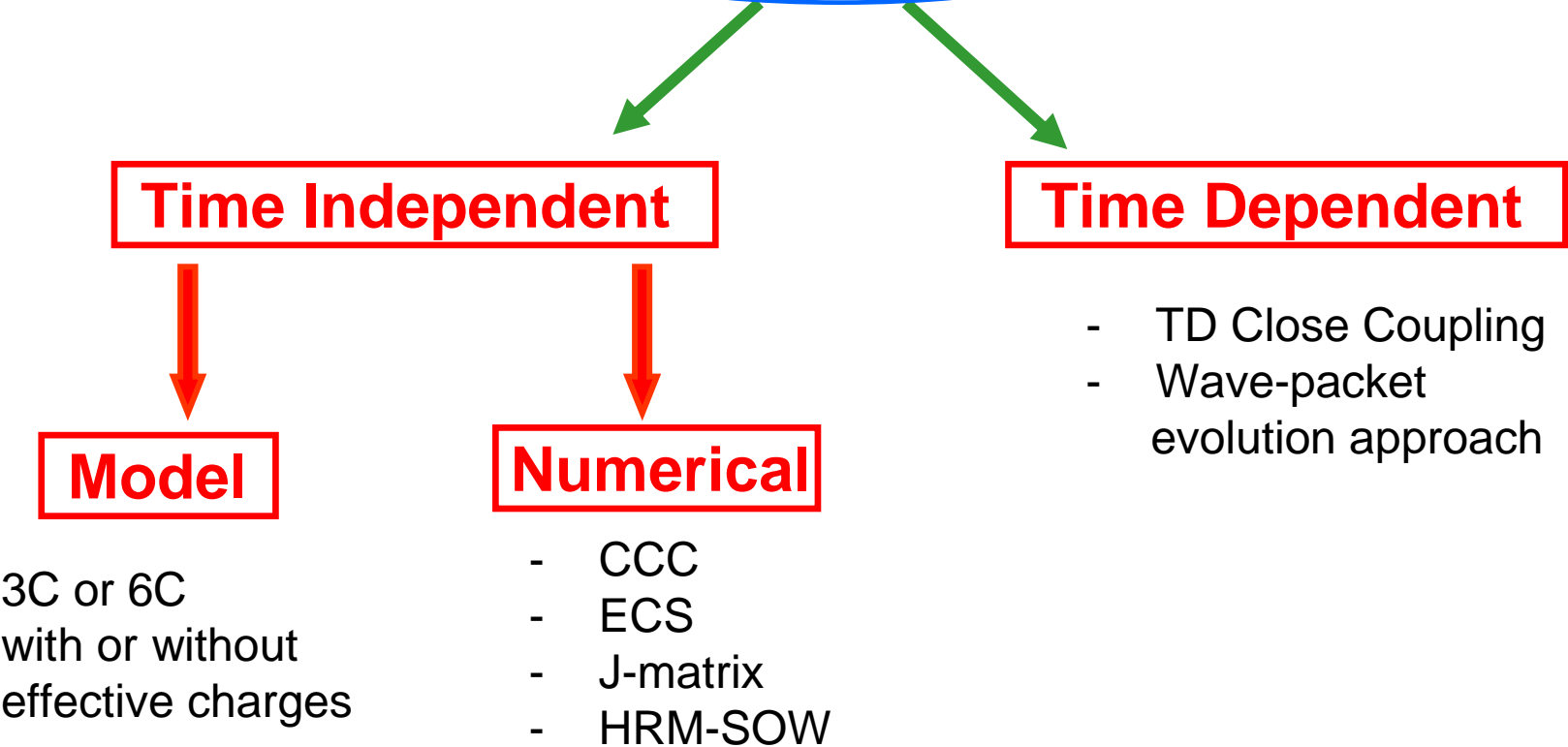
CCC in three gauges
(Kheifets and Bray, PRA, 2004)

Agreement between 3 gauges
AND with other theories
AND with experiments ...
BUT tests only L=1

THEORY ↔ EXPERIENCE

To calculate such cross sections one needs wave functions describing **three charged particles in Coulomb interaction** $z_i z_j / r_{ij}$

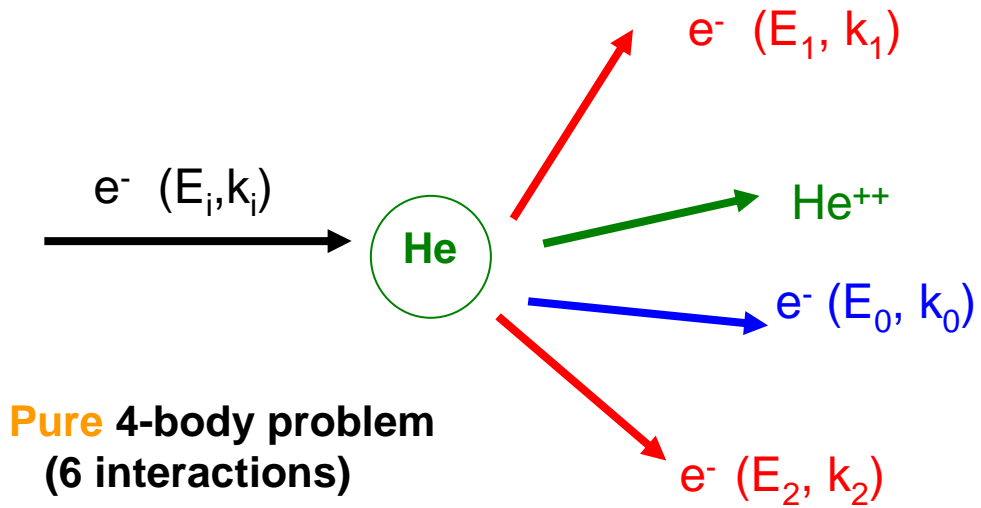
- Description of the bound state (target): OK
- Description of the **double continuum**: more difficult



Different *numerical* descriptions of the double continuum
agree reasonably well
with each other **AND** with experiments
for (e,2e) and (γ ,2e) processes

BUT ... not for (e,3e) processes !

DOUBLE IONIZATION : (e,3e)



Detection in coincidence:
FDCS

$$\frac{d^5 \sigma}{d\Omega_1 d\Omega_2 d\Omega_0 dE_1 dE_2}$$

Most stringent test for theory

- Need
- description of initial bound 3-body problem: OK
 - description of continuum 4-body problem (or 3-body if within FBA)
(beautiful challenge for theoreticians)

Tests the double continuum in a complete manner

(e,3e) COPLANAR EXPERIMENTS on Helium

Absolute differential cross sections have been measured

- Measurements of Orsay Group

(Lahmam-Bennani et al - PRA, 1999 and JPB,1999)

- Incident energy: $E_i \sim 5.6 \text{ keV}$

Ejected energy: A) $E_1 = E_2 = 10 \text{ eV}$ - $q = 0.24 \text{ a.u.}$

B) $E_1 = E_2 = 4 \text{ eV}$ - $q = 0.22 \text{ a.u.}$

Dipolar regime: small momentum transfer $\mathbf{q} = |\mathbf{k}_i - \mathbf{k}_0|$

(optical limit \rightarrow similar to $(\gamma, 2e)$)

FBA should be fine for these high incident energy AND small momentum transfers

(SECOND BORN EFFECTS are NEGLIGIBLE)

First Born Approximation (FBA)

$$\frac{d^5 \sigma}{d\Omega_0 d\Omega_1 d\Omega_2 dE_1 dE_2} = (2\pi)^4 \frac{k_0 k_1 k_2}{k_i} |T_{fi}(\mathbf{k}_0, \mathbf{k}_1, \mathbf{k}_2)|^2$$

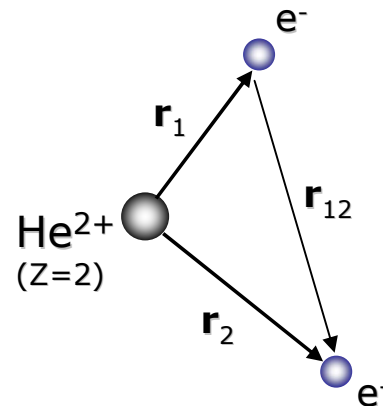
$$T_{fi} = \left\langle \frac{1}{(2\pi)^{3/2}} e^{i\mathbf{k}_0 \cdot \mathbf{r}_0} \Psi_f^-(\mathbf{r}_1, \mathbf{r}_2) \left| -\frac{Z}{r_0} + \frac{1}{r_{01}} + \frac{1}{r_{02}} \right| \frac{1}{(2\pi)^{3/2}} e^{i\mathbf{k}_i \cdot \mathbf{r}_0} \Psi_i(\mathbf{r}_1, \mathbf{r}_2) \right\rangle \rightarrow \int 9D$$

Interaction

Momentum transfer : $\mathbf{q} = \mathbf{k}_i - \mathbf{k}_0$

$$T_{fi} = \frac{1}{2\pi^2 q^2} \left\langle \Psi_f^-(\mathbf{r}_1, \mathbf{r}_2) \left| -Z + e^{i\mathbf{q} \cdot \mathbf{r}_1} + e^{i\mathbf{q} \cdot \mathbf{r}_2} \right| \Psi_i(\mathbf{r}_1, \mathbf{r}_2) \right\rangle \rightarrow \int 6D$$

3-body CONTINUUM problem
(double continuum)



3-body BOUND problem
Ground state of He

No theoretical calculations reproduce all the experimental data !!
Ongoing debate for 14 years !

(Ancarani et al, J. Conf. Ser., 2010)

More than 15 papers with theoretical calculations

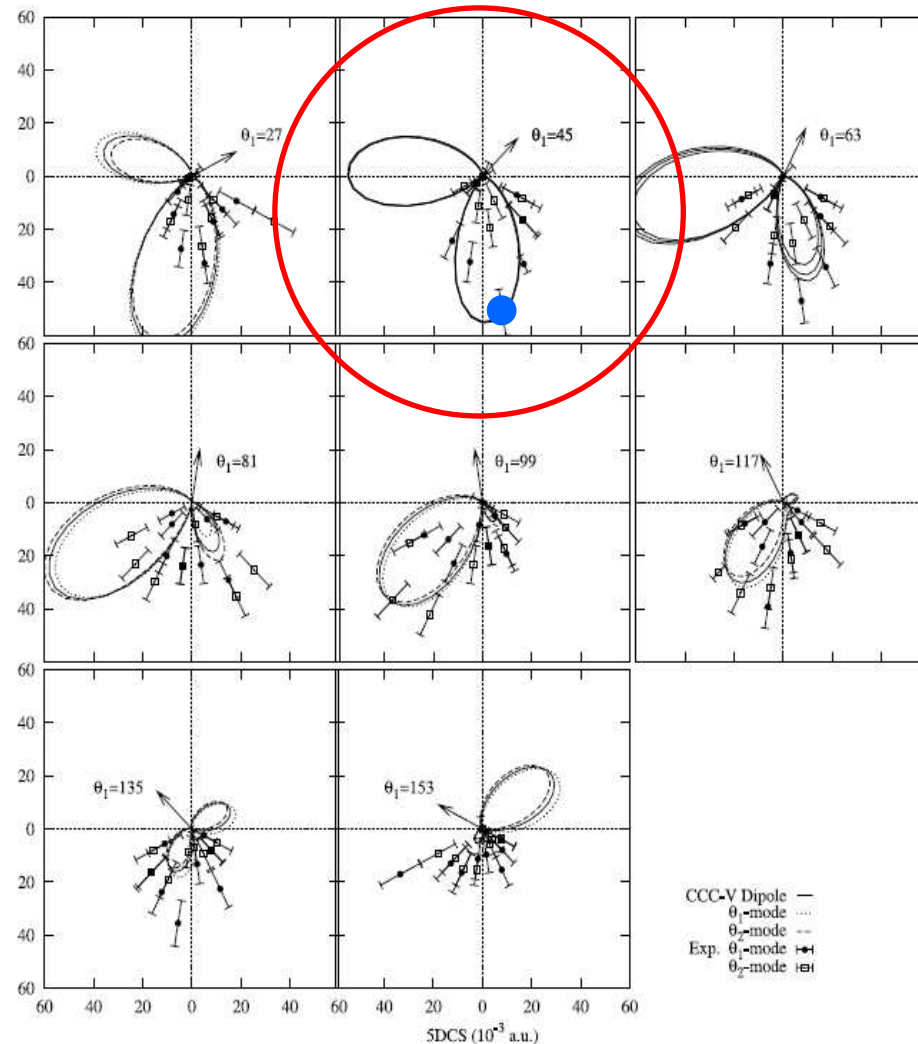
Orsay Measurements

$E_i \sim 5.6$ keV
 $E_1 = E_2 = 4$ eV
 $\theta_0 = 0.45^\circ$
 16 angles θ_1

CCC calculations

(with 20-term Hylleraas He w.f.)
 Kheifets et al (JPB, 1999)

Shapes: not so good
 AND factor 14 too small !
 (rescaled at $\theta_1 = 45^\circ$)



No agreement between calculations and experiments,
in particular with respect to the magnitude (but not only).
The measured (e,3e) cross sections are **absolute**.
Their validity can be questioned ...
but theories do not agree with each other !!

PRESENTLY: numerical methods
which agree with each other for (e,2e) and (γ ,2e)
do not agree for (e,3e) !!

- **IS SOMETHING GOING WRONG WITH NUMERICAL METHODS when describing (e,3e) processes?**
- **WHAT IS THE NATURE OF THE PROBLEM?**
- **WHAT CAN BE DONE TO RESOLVE THE ISSUE?**

WHAT CAN BE DONE TO RESOLVE THE ISSUE?

- **EXPERIMENTALLY:** need new absolute (e,3e) measurements
 - confirm those of 1999
 - other energy values ($E_1=E_2$ or $E_1\neq E_2$)
- **THEORETICALLY:**
 - investigate again (properly) Second Born effects
 - check all convergence issues of *numerical* methods
 - test the double continuum in a simpler manner



THIS IS WHAT WE DID : 2 models

METHOD: Generalized Sturmian Functions

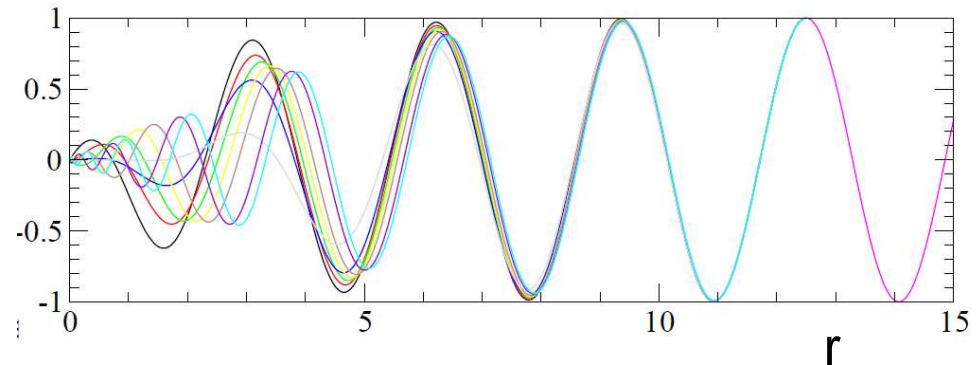
Generalized Sturmian Functions (two-body): $S_{n,l}(r)$

- solutions of a Sturm-Liouville differential equation
- they form a complete and discrete set → **BASIS SET**
- they have a **unique and appropriate asymptotic behavior** (with **correct** energy for continuum states)

Coulombic CONTINUUM states

$$E = k^2/2$$

$$S_{n,l}^{\pm}(r) \rightarrow e^{\pm ikr \mp i \frac{Z_{as}}{k} \ln(2kr)}$$



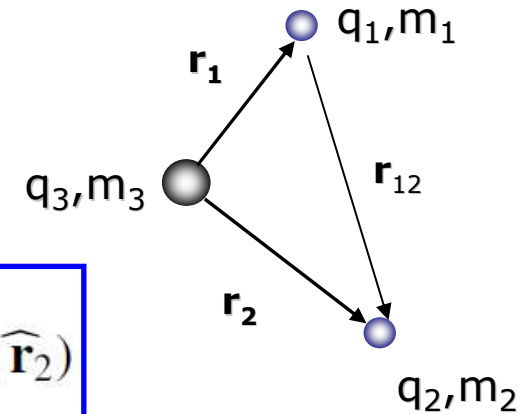
- they concentrate the effort **in the inner part** where interaction takes place
- they transform the Schrödinger equation into a **matricial problem**

→ VERY EFFICIENT BASIS
(require smaller computational resources)

THREE-BODY CASE

Uncorrelated product of two-body GSF:

$$\Psi_{sc}^+(\mathbf{r}_1, \mathbf{r}_2) = \sum_L \sum_{l_1 l_2} \sum_{n_1 n_2} a_{n_1 n_2}^{l_1 l_2 L} \mathcal{A} \frac{S_{n_1 l_1}^+(r_1)}{r_1} \frac{S_{n_2 l_2}^+(r_2)}{r_2} \mathcal{Y}_{l_1 l_2}^{L0}(\widehat{\mathbf{r}}_1, \widehat{\mathbf{r}}_2)$$

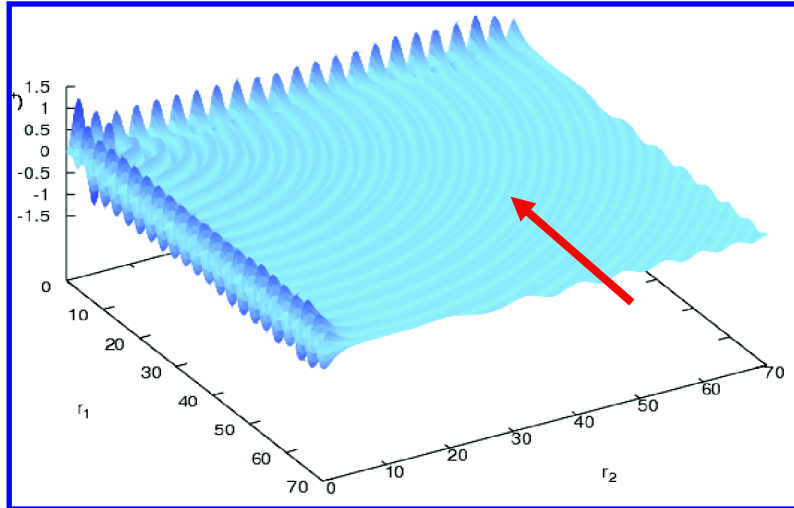


- **Similar advantages** (inner part, matricial problem, ...)
- **Several successful applications**

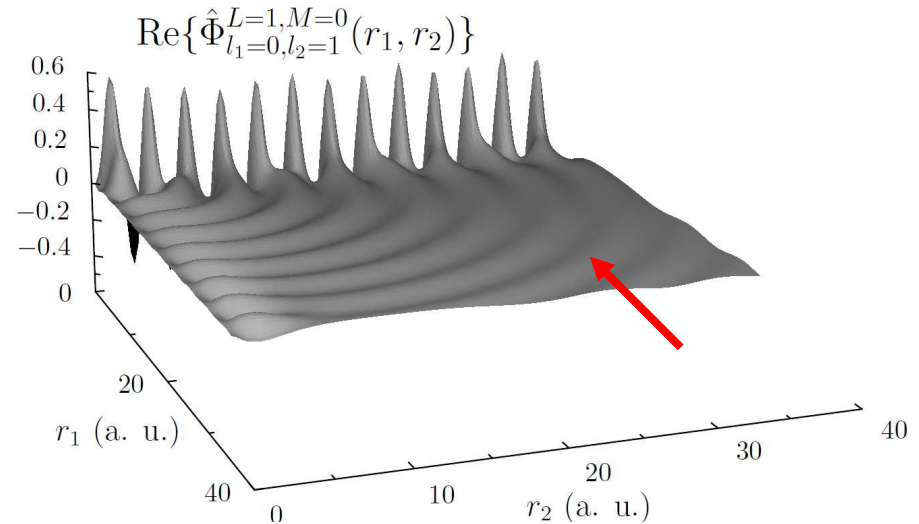
- **BOUND** - atomic states (ground and excited), resonances
(e.g. **BEST ground state energy with uncorrelated product**)
 - H_2^+ molecule
 - confined systems
- **CONTINUUM**
 - Single ionization of H by electron impact
 - Single photoionization of H, He and CH_4 (**poster Granados**)
 - Double photoionization of He

Scattering wave function : $\text{Re}(\Psi_{\text{sc}}^+)$

(e,2e) on H



(γ ,2e) on He



GSF: good agreement with other theoretical results

**Peterkop-type asymptotic behavior
(all particles far from each other : Ω_0)**

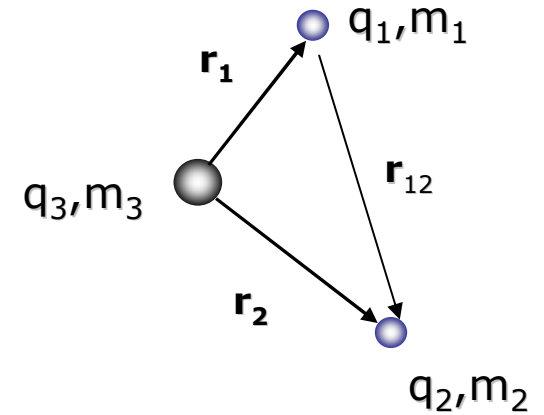
$$\Psi_{\text{sc}}^+(\rho, \omega_5) = A(\omega_5) \rho^{-5/2} e^{iK\rho + i\frac{C(\omega_5)}{K} \ln(2K\rho)}$$

It is notoriously VERY difficult
to enforce Coulomb three-body asymptotic conditions

HYPERSPHERICAL COORDINATES: (ρ, ω_5)

$$\rho = \sqrt{r_1^2 + r_2^2} \quad \omega_5 = \{\alpha, \hat{\mathbf{r}}_1, \hat{\mathbf{r}}_2\}$$

$$\alpha = \arctan(r_2/r_1)$$



$$V(r_1, r_2, r_{12}) = \frac{q_1 q_3}{r_1} + \frac{q_2 q_3}{r_2} + \frac{q_1 q_2}{r_{12}}$$

→

$$V(\rho, \omega_5) = \frac{C(\omega_5)}{\rho}$$

Peterkop-type asymptotic behavior

$$\Psi_{sc}^+(\rho, \omega_5) = A(\omega_5) \rho^{-5/2} e^{iK\rho + i\frac{C(\omega_5)}{K} \ln(2K\rho)}$$

Ionization
amplitude

Angle-dependent coefficient
of the logarithmic phase

Hyper-momentum $K = \sqrt{k_1^2 + k_2^2} \quad ; \quad E = \frac{K^2}{2\mu}$

**Hyperspherical coordinates (ρ, ω_5) are better suited
(at least for the Ω_0 region) !!**

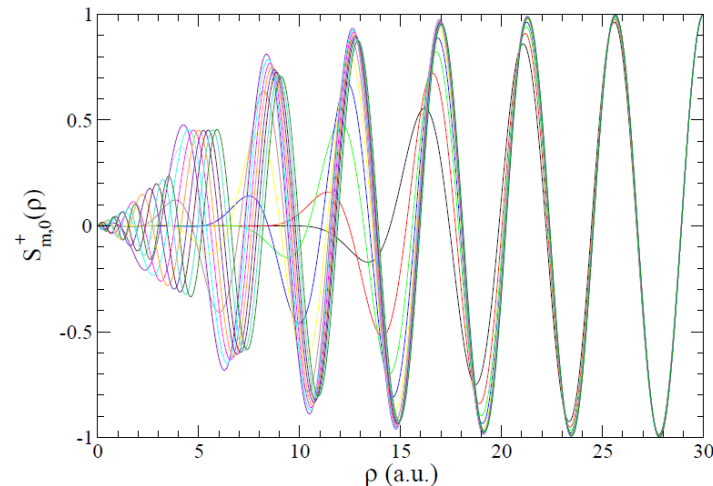
**→ GENERALIZED STURMIAN FUNCTIONS
(HGSF) IN HYPERSHERICAL COORDINATES**

$$\longrightarrow \begin{cases} S_{n,m}^+(\rho) \\ \Omega_n(\omega_5) \end{cases}$$

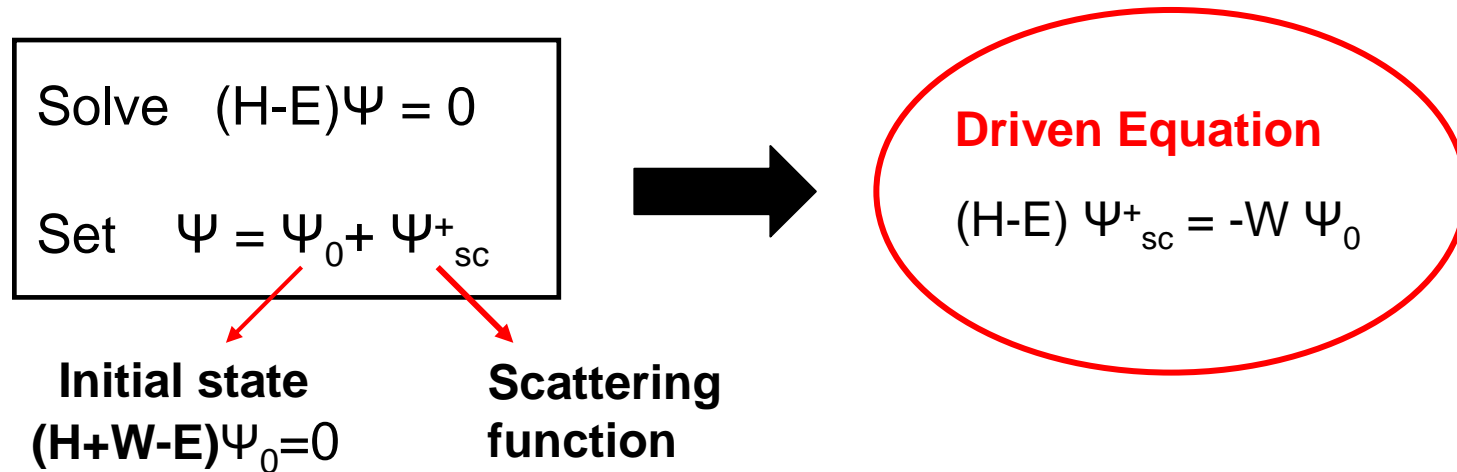
(Gasaneo et al, J.Phys.Chem. A, 2009, Gasaneo and Ancarani, J. Phys. A, 2012)

S-wave:

$$\Psi_{sc}^+(\rho, \alpha) = \frac{1}{\rho^{\frac{5}{2}}} \sum_m \sum_n a_{mn} S_{mn}^+(\rho) \Omega_n(\alpha)$$



Application to ionization processes



Driven Equation in Hyperspherical coordinates (ρ, ω_5)

$$[T + V(\rho, \omega_5) - E]\Psi_{sc}(\rho, \omega_5) = -W(\rho, \omega_5)\Psi_0(\rho, \omega_5)$$

S-wave Models

Temkin-Poet :

Dielectronic interaction $r_{12} \rightarrow r_{>} = \text{Max}[r_1, r_2]$

$$V(\rho, \omega_5) = \frac{C(\omega_5)}{\rho} \rightarrow \frac{C(\alpha)}{\rho}$$

Since this is OK for (e,2e) with several *numerical* methods
→ something else ?

Multiply the (benchmark) tests

→ two S-wave model problems

- 1) Three-body break-up model problem
- 2) Double ionization of He by high energy electron impact

1) Three-body break-up model problem

(Ancarani, Gasaneo and Mitnik, EPJD, 2012; Mitnik, Ancarani, Gasaneo, JPB, 2012)

Full three-body \gg Temkin-Poet \gg Present Model

$$V(\rho, \omega_5) = \frac{C(\omega_5)}{\rho}$$

$$\frac{C(\alpha)}{\rho}$$

$$\frac{q_1 q_2}{\rho}$$



$$\left[T - \left(\frac{Z}{\rho} \right) - E \right] \Psi(\rho, \alpha) = W(\rho, \alpha) \Psi_0(\rho, \alpha)$$



Same difficulties as real problem:

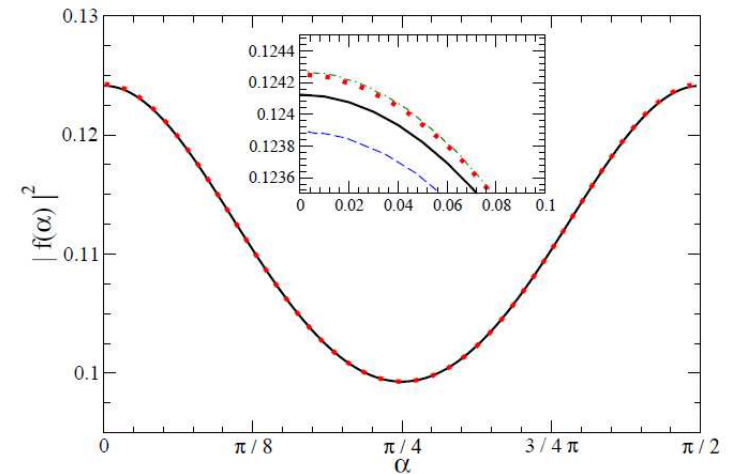
- 1) Non separability
- 2) Coulomb potential
- 3) (r_1, r_2) coupling is different

**Bound-free
initial state**

Three-body break-up model problem has an **ANALYTICAL SOLUTION**

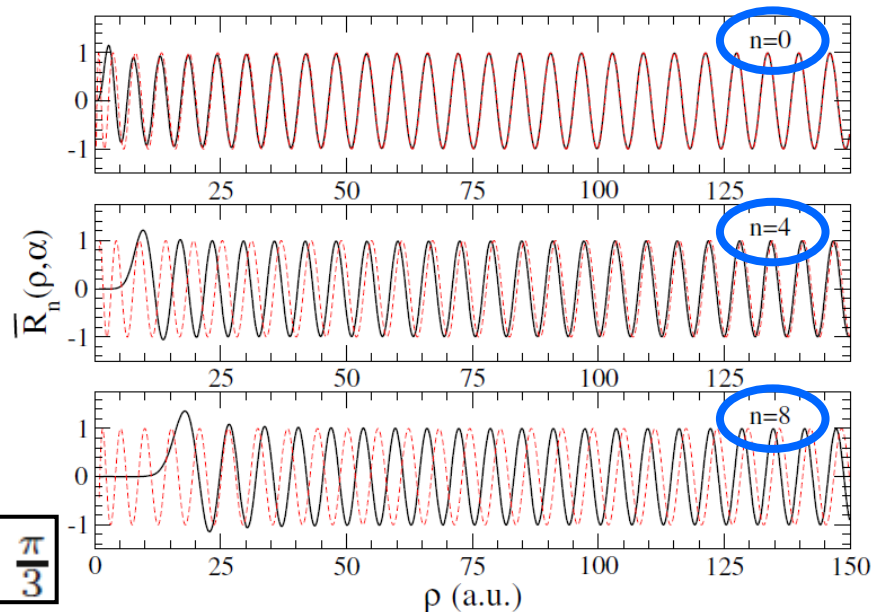
- analytical scattering amplitude $f(\alpha)$
- provides a **solid three-body benchmark** for testing numerical methodologies

- **Excellent analytical-numerical agreement**
- **Hyperspherical GSF: good tool**
- **Very fast convergence (few n terms only)**
- **Also lower energy (usually convergence issues!)**



$$K = 1 \text{ a.u.}$$

$$E = 0.5 \text{ a.u.}$$

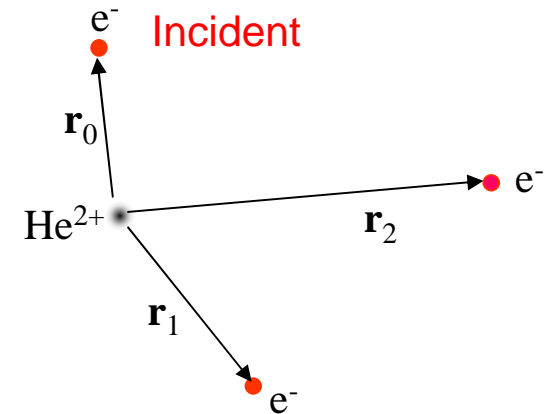


To extract the transition amplitude
 → need to go very far !!
 (long range)

2) Double ionization of He by high energy electron impact

(Gasaneo et al, PRA, 2013)

- Four body problem
- Fast incident/scattered electron
 - 3-body Driven Equation (equivalent to FBA)



- **S-wave model for the driven equation:** $r_{12} \rightarrow r_{>} = \text{Max}[r_1, r_2]$

(e,3e) measurements on He of Orsay Group

Incident energy: $E_i \sim 5.6 \text{ keV}$

Ejected energy: A) $E_1 = E_2 = 10 \text{ eV}$

B) $E_1 = E_2 = 4 \text{ eV}$

No other model at the energy of the (e,3e) experiments (5.6 keV – 10 eV, 10 eV)

Other S-wave models for ionization processes on He have been published, but focussed on lower incident energies.

We have solved this driven equation with:

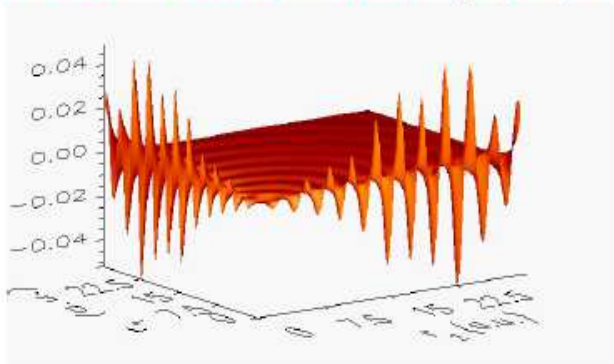
GSF

$$\Psi_{sc}^+(\mathbf{r}_1, \mathbf{r}_2) = \sum_L \sum_{l_1 l_2} \sum_{n_1 n_2} a_{n_1 n_2}^{l_1 l_2 L} \mathcal{A} \frac{S_{n_1 l_1}^+(r_1)}{r_1} \frac{S_{n_2 l_2}^+(r_2)}{r_2} \mathcal{Y}_{l_1 l_2}^{L0}(\widehat{\mathbf{r}}_1, \widehat{\mathbf{r}}_2)$$

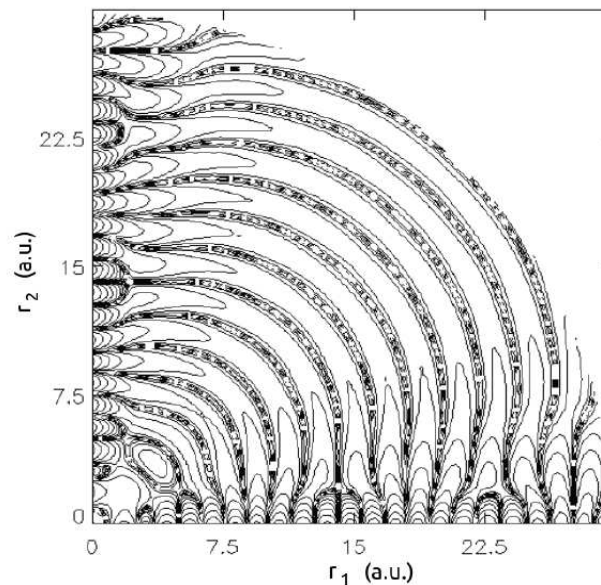
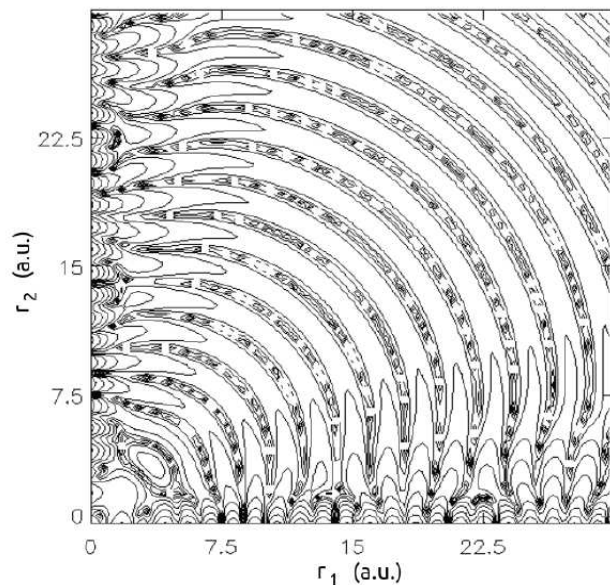
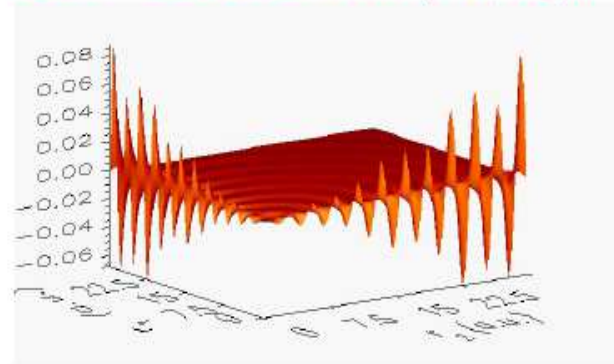
GHSF

$$\Psi_{sc}^+(\rho, \alpha) = \frac{1}{\rho^{\frac{5}{2}}} \sum_m \sum_n a_{mn} S_{mn}^+(\rho) \Omega_n(\alpha)$$

HGSF Calculation (ρ, α):



GSF Calculation (r_1, r_2):



+new
benchmark
SDCS data

TWO COMPLETELY INDEPENDENT numerical methods (GSF and GHSF) are in VERY GOOD AGREEMENT in describing the double continuum !!

Can other *ab initio* methods reproduce this simplified problem?

SUMMARY

- **PROBLEM: double ionization of He by high energy electron impact**
(No agreement between theory and experiment **AND** between theories)
THEORETICAL / EXPERIMENTAL REASONS??

- **METHOD: appropriate boundary conditions** can be imposed to the basis elements
 - **spherical GSF** are able to generate the correct outgoing 3-body hyperspherical front
 - **hyperspherical GSF** accelerate even more the rate of convergence (more natural in Ω_0)

- **TWO S-WAVE MODELS**
 - 1) **Analytical three-body Coulomb break-up model: HGFSF gives excellent agreement.**
USEFUL TOOL to test numerical methods (at any energy !)

 - 2) **Double ionization S-wave model for high electron impact energy :**
should hopefully help in identifying what is going on in real (difficult) problem.
Spherical and Hyperspherical Sturmian Functions: agree with each other
→ **can other theories at least agree on this simplified problem?**

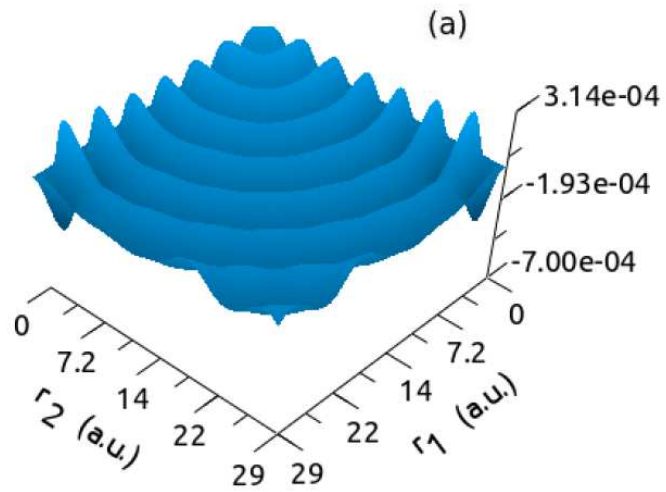
This is a contribution towards elucidating ...

+ Preliminary results for the full (e,3e) process !

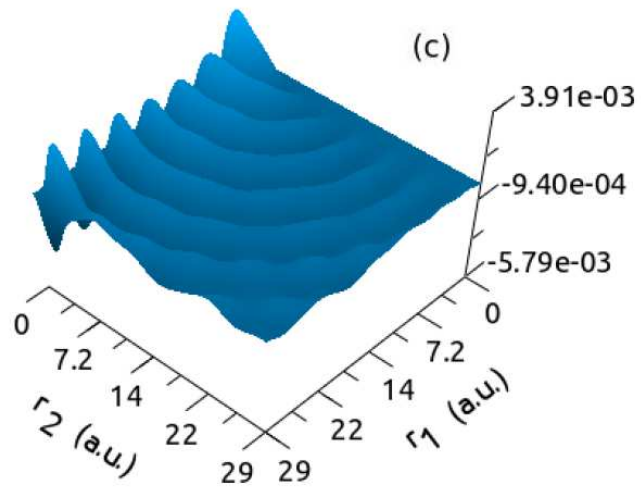
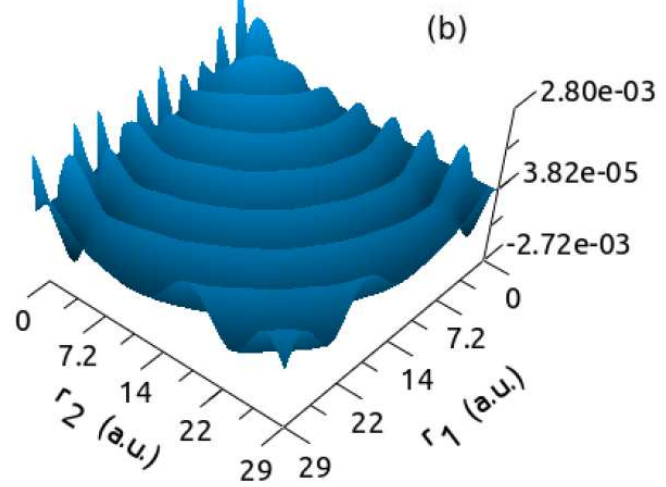
FULL
(e,3e)

All L

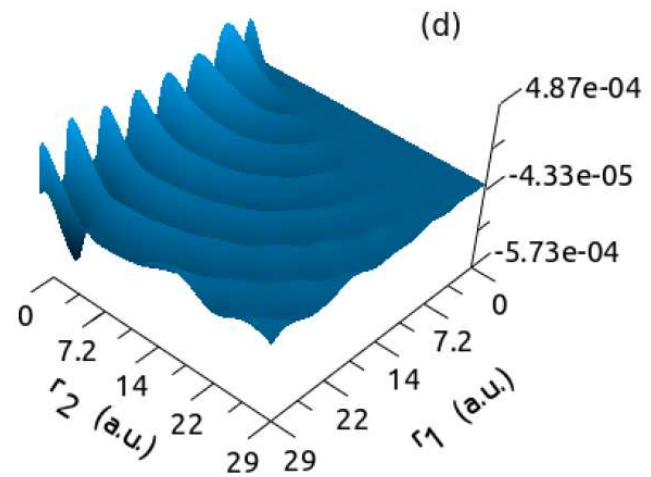
L=0
 $(l_1, l_2) = (1, 1)$



L=1
 $(l_1, l_2) = (0, 1)$



L=1
 $(l_1, l_2) = (1, 2)$



L=2
 $(l_1, l_2) = (1, 3)$

Thank you for your attention !